European Parliament 2014-2019



2016/0398(COD)

11.12.2018

COLUMN TABLE FOR INTERINSTITUTIONAL NEGOTIATIONS

Proposal for a directive of the European Parliament and of the Council on the enforcement of the Directive 2006/123/EC on services in the internal market, laying down a notification procedure for authorisation schemes and requirements related to services, and amending Directive 2006/123/EC and Regulation (EU) No 1024/2012 on administrative cooperation through the Internal Market Information System (COM(2016)0821 – C8-0011/2017 – 2016/0398(COD))

Date of the trilogue: 6.6.2018

Committee on the Internal Market and Consumer Protection – Negotiating team

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Proposal for a directive of the European Parliament and the Council On the enforcement of the Directive 2006/123/EC on services in the internal market, laying down a notification procedure for authorisation schemes and requirements related to services, and amending Directive 2006/123/EC and Regulation (EU) No 1024/2012 on administrative cooperation through the Internal Market Information System COM (2016) 821 final - 2016/0398 (COD)

PART 1: RECITALS

Cell in green: The text can be deemed as already agreed Cell in yellow: The issue needs further discussion at technical level Cell in red: The issue needs further discussion in depth at the trilogue meetings

Note:

Differences between the EP's position and the Commission's proposal are highlighted in Bold/Italics. Differences between the Council's position and the Commission's proposal are highlighted in Bold/Italics. Modifications by lawyer-linguists are in Italics. Deletions are not marked. Compromise wording is in Bold/Italics/Underline.

Row	COMMISSION	EP	COUNCIL - GENERAL	POSSIBLE COMPROMISE
	COM(2016)821	A8-0396/2017	APPROACH 9507/17	SOLUTION

Row	COMMISSION	EP	COUNCIL - GENERAL	POSSIBLE COMPROMISE
	COM(2016)821	A8-0396/2017	APPROACH 9507/17	SOLUTION
1.	(1) The Treaty on the Functioning of the European Union (TFEU) guarantees to service providers the freedom of establishment in other Member States and the freedom to provide services between Member States.	(1) The Treaty on the Functioning of the European Union (TFEU) guarantees to service providers the freedom of establishment in other Member States and the freedom to provide services between Member States.	(1) The Treaty on the Functioning of the European Union (TFEU) guarantees to service providers the freedom of establishment in other Member States and the freedom to provide services between Member States.	Green (1) The Treaty on the Functioning of the European Union (TFEU) guarantees to service providers the freedom of establishment in other Member States and the freedom to provide services between Member States.

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	(2) Directive 2006/123/EC of the European Parliament and of the Council ¹ specifies the content of the freedom of establishment and the freedom to provide services as regards certain services. It provides, inter alia, that authorisation schemes and certain types of requirements related to services must be non- discriminatory with regard to nationality or residence, justified by an overriding reason related to the public interest and proportionate.	(2) Directive 2006/123/EC of the European Parliament and of the Council ² specifies the content of the freedom of establishment and the freedom to provide services as regards certain services. It provides, inter alia, that authorisation schemes and certain types of requirements related to services must be non- discriminatory with regard to nationality or residence, justified by an overriding reason related to the public interest and proportionate.	(2) Directive 2006/123/EC of the European Parliament and of the Council ³ specifies the content of the freedom of establishment and the freedom to provide services as regards certain services. It provides, inter alia, that authorisation schemes and certain types of requirements related to services must be non- discriminatory with regard to nationality or residence, justified by an overriding reason related to the public interest and proportionate.	 Green (2) Directive 2006/123/EC of the European Parliament and of the Council⁴ specifies the content of the freedom of establishment and the freedom to provide services as regards certain services. It provides, inter alia, that authorisation schemes and certain types of requirements related to services must be non-discriminatory with regard to nationality or residence, justified by an overriding reason related to the public interest and proportionate.

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¹ Directive 2006/123/EC of the European Parliament and of the Council of 12 December 2006 on services in the internal market (OJ L 376, 27.12.2006, p. 36).

² Directive 2006/123/EC of the European Parliament and of the Council of 12 December 2006 on services in the internal market (OJ L 376, 27.12.2006, p. 36).

³ Directive 2006/123/EC of the European Parliament and of the Council of 12 December 2006 on services in the internal market (OJ L 376, 27.12.2006, p. 36).

⁴ Directive 2006/123/EC of the European Parliament and of the Council of 12 December 2006 on services in the internal market (OJ L 376, 27.12.2006, p. 36).

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3.	(3) Directive 2006/123/EC	(3) Directive 2006/123/EC	(3) Directive 2006/123/EC	(3) Directive 2006/123/EC
	provides for an obligation for			
	Member States to assess and			
	adapt their legislation on			
	authorisation schemes and certain			
	requirements related to services,			
	in order to bring it in conformity			
	with the rules laid down in that			
	Directive. Furthermore, with a			
	view to facilitating the			
	verification of future compliance			
	by Member States, Directive			
	2006/123/EC provides for an			
	obligation for Member States to			
	notify new laws, regulations or			
	administrative provisions which	administrative provisions which	administrative provisions which	administrative provisions which
	set out certain new requirements			
	falling within the scope of that			
	Directive, or any substantive			
	changes to such requirements.			

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4.	(4) The Commission has	(4) The Commission has	(4) The Commission has	Green
	received an increasing number of	received an increasing number of	received an increasing number of	
	notifications from Member States	notifications from Member States	notifications from Member States	(4) The Commission has
	regarding newly introduced	regarding newly introduced	regarding newly introduced	received an increasing number of
	requirements under Directive	requirements under Directive	requirements under Directive	notifications from Member States
	2006/123/EC. However, not all	2006/123/EC. However, not all	2006/123/EC. However, not all	regarding newly introduced
	of those national requirements	of those national requirements	of those national requirements	requirements under Directive
	are non-discriminatory with	are non-discriminatory with	are non-discriminatory with	2006/123/EC. However, not all
	regard to nationality or residence,	regard to nationality or residence,	regard to nationality or residence,	of those national requirements
	justified and proportionate, thus	justified and proportionate, thus	justified and proportionate, thus	are non-discriminatory with
	resulting in a significant number	resulting in a significant number	resulting in a significant number	regard to nationality or residence,
	of structural dialogues launched	of structural dialogues launched	of structural dialogues launched	justified and proportionate, thus
	by the Commission vis-à-vis	by the Commission vis-à-vis	by the Commission vis-à-vis	resulting in a significant number
	Member States. This shows that	Member States. This shows that	Member States. This shows that	of structural dialogues launched
	the existing notification	the existing notification	the existing notification	by the Commission vis-à-vis
	procedure is not sufficient to	procedure is not sufficient to	procedure is not sufficient to	Member States. This shows that
	avoid discrimination on the	avoid discrimination on the	avoid discrimination on the	the existing notification
	grounds of nationality or	grounds of nationality or	grounds of nationality or	procedure is not sufficient to
	residence, unjustified or	residence, unjustified or	residence, unjustified or	avoid discrimination on the
	disproportionate requirements.	disproportionate requirements.	disproportionate requirements.	grounds of nationality or
	This is to the detriment of	This is to the detriment of	This is to the detriment of	residence, unjustified or
	citizens and businesses in the	citizens and businesses in the	citizens and businesses in the	disproportionate requirements.
	internal market for services.	internal market for services.	internal market for services.	This is to the detriment of
	Moreover, it appears that some	Moreover, it appears that some	Moreover, it appears that some	citizens and businesses in the
	new or modified requirements	new or modified requirements	new or modified requirements	internal market for services.
	related to services falling within	related to services falling within	related to services falling within	Moreover, it appears that some
	the scope of Directive	the scope of Directive	the scope of Directive	new or modified requirements
	2006/123/EC have not been	2006/123/EC have not been	2006/123/EC have not been	related to services falling within
	notified at all.	notified at all.	notified at all.	the scope of Directive
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5.	(5) For these reasons the Commission, in its Single Market Strategy ¹ , announced an initiative to improve compliance with Directive 2006/123/EC, by reforming the notification procedure provided under it.	(5) For these reasons the Commission, in its Single Market Strategy ² , announced an initiative to improve compliance with Directive 2006/123/EC, by reforming the notification procedure provided under it.	(5) For these reasons the Commission, in its Single Market Strategy ³ , announced an initiative to improve compliance with Directive 2006/123/EC, by reforming the notification procedure provided under it.	Green (5) For these reasons the Commission, in its Single Market Strategy ⁴ , announced an initiative to improve compliance with Directive 2006/123/EC, by reforming the notification procedure provided under it.
6.	(6) The effective enforcement	(6) The effective enforcement	(6) The effective enforcement	Light Green
	of the rules governing the internal	of the rules governing the internal	of the rules governing the internal	EP suggestion, to be checked by
	market for services set out in	market for services set out in	market for services set out in	Council
	Directive 2006/123/EC should be	Directive 2006/123/EC should be	Directive 2006/123/EC should be	(6) The effective enforcement
	enhanced by improving the	enhanced by improving the	enhanced by improving the	of the rules governing the internal
	existing notification procedure	existing notification procedure	existing notification procedure	market for services set out in
	established by that Directive in	established by that Directive in	established by that Directive in	Directive 2006/123/EC should be
	respect of national authorisation	respect of national authorisation	respect of national authorisation	enhanced by improving the
	schemes and certain requirements	schemes and certain requirements	schemes and certain requirements	existing notification procedure
	concerning both access to self-	concerning both access to self-	concerning both access to self-	established by that Directive in

¹ Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, Upgrading the Single Market: More opportunities for people and business (COM (2015) 550 final).

² Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, Upgrading the Single Market: More opportunities for people and business (COM (2015) 550 final).

³ Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, Upgrading the Single Market: More opportunities for people and business (COM (2015) 550 final).

⁴ Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, Upgrading the Single Market: More opportunities for people and business (COM (2015) 550 final).

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Row	COMMISSION	EP	COUNCIL - GENERAL	POSSIBLE COMPROMISE
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	employed activities and their	employed activities and their	employed activities and their	respect of national authorisation
	exercise. The prevention of the	exercise. The prevention	exercise. This Directive	schemes and certain requirements
	adoption of national provisions	of <u>Dialogue between the</u>	establishes a procedure for	concerning both access to self-
	establishing requirements and	Commission and the Member	Member States and the	employed activities and their
	authorisation schemes that would	States should be facilitated in	Commission to work in	exercise. This Directive
	be contrary to Directive	order to prevent the adoption of	partnership to prevent the	<u>establishes a procedure for</u>
	2006/123/EC should be	national provisions establishing	introduction of discriminatory,	which strengthens the
	facilitated. This Directive is	requirements and authorisation	unjustified and	<u>cooperation between</u> Member
	without prejudice to the	schemes that would be contrary	disproportionate authorisation	States and the Commission to
	Commission's powers under the	to Directive 2006/123/EC should	schemes and/or of certain	work in partnership in order to
	Treaties and the Member States'	be facilitated and that would lead	requirements related to	prevent the introduction of
	obligation to comply with the	to the fragmentation of the	services covered by Directive	discriminatory, unjustified and
	provisions of Union law.	Single Market, and in order to	<u>2006/123/EC. The</u>	disproportionate authorisation
		<u>minimise the number of new</u>	authorisation schemes and the	schemes and/or of certain
		<u>infringement cases.</u> This	requirements covered by this	requirements related to
		Directive is without prejudice to	Directive are those which fall	services covered by Directive
		the Commission's powers	within the scope of Directive	2006/123/EC. <mark>The authorisation</mark>
		conferred on the Commission	2006/123/EC. This Directive	<mark>schemes and the requirements</mark>
		and the Court of Justice under	does not curtail the sovereign	covered by this Directive are
		the Treaties and the Member	rights of Member States to	those which fall within the
		States' rights and obligations	regulate service activities in	<mark>scope of Directive 2006/123/EC.</mark>
		flowing therefrom to comply	<u>line with EU law.</u>	This Directive does not curtail
		with the provisions of Union law.		affect the sovereign right of
		[<u>Am. 1]</u>]	The prevention of the adoption of	Member States to regulate
			national provisions establishing	service activities in line with
			requirements and authorisation	EU law.
			schemes that would be contrary	
			to Directive 2006/123/EC should	The prevention of the adoption of
			be facilitated. This Directive is	national provisions establishing

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			without prejudice to the	requirements and authorisation
			Commission's powers under the	schemes that would be contrary
			Treaties and the Member States'	to Directive 2006/123/EC should
			obligation to comply with the	be facilitated. This Directive is
			provisions of Union law.	without prejudice to the
				Commission's powers under the
				Treaties and the Member States'
				obligation to comply with the
				provisions of Union law.

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7.	(7) The notification obligation established by this Directive should apply to regulatory measures of Member States, such as laws, regulations, administrative provisions of general nature or any other binding rule of general nature, including rules adopted by professional organisations to regulate in a collective manner access to service activities or the exercise thereof. The notification obligation should on the other hand not apply to individual decisions issued by national authorities.	The notification obligation established by this Directive should apply to regulatory measures of Member States, such as laws, regulations, administrative provisions of general nature or any other binding rule of general nature, including rules adopted by professional organisations <u>or</u> <u>associations</u> to regulate in a collective manner access to service activities or the exercise thereof. The notification obligation should on the other hand not apply to individual decisions issued by national authorities. [Am. 2]	(7) The notification obligation established by this Directive should apply to regulatory measures of Member States, such as laws, regulations, administrative provisions of <u>a</u> general nature or any other binding rule of <u>a</u> general nature, including rules adopted by <u>professional associations or</u> other professional organisations, <u>in the exercise of their legal</u> <u>autonomy</u> , to regulate in a collective manner access to service activities or the exercise thereof. The notification obligation should on the other hand not apply to individual decisions <u>addressed to a specific</u> <u>service provider</u> issued by national authorities.	Green EP suggestion, to be checked by Council (7) The notification obligation established by this Directive should apply to regulatory measures of Member States, such as laws, regulations, administrative provisions of <u>a</u> general nature or any other binding rule of <u>a</u> general nature, including rules adopted by professional associations or other professional organisations, in the exercise of their legal autonomy, entrusted by the Member States to regulate in a collective manner access to service activities or the exercise thereof. The notification obligation should on the other hand not apply to individual draft decisions <u>addressed to a</u> specific service provider issued by national authorities.
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8.		(7a) The notification obligation established by this Directive should not apply to decisions made in respect of an individual service provider or to draft rules laid down in collective agreements negotiated by social partners which are not considered to be requirements within the meaning of this Directive. In addition, it should not apply to measures repealing authorisation schemes or requirements or to measures implementing binding Union acts where such acts contain precise provisions to be implemented and there is no scope for divergence between Member States in the transposition and implementation of those acts. [Am. 3]	(7a) The notification obligation should not apply to measures completely repealing authorisation schemes or requirements or to measures implementing authorisation schemes or requirements, which have already been notified, and which do not extend the scope or content, or make them more restrictive for the establishment, or the cross- border provision of services. The notification obligation should equally not apply to measures implementing binding Union acts in the Member State, when such acts contain uniform provisions to be implemented and when there is no scope for divergence of Member States' rules which may result in barriers to the Single Market. This exception should be understood in the light of the case-law of the Court of Justice of the European Union.	YellowEP suggestion to be checked by CouncilRecital 7a(7a) The notification obligation established by this Directive should not apply to draft measures laid down in collective agreements negotiated by social partners which are not considered to be requirements within the meaning of this Directive. In addition, it should not apply to measures repealing authorisation schemes or requirements, or to measures implementing authorisation schemes or requirements which have already been notified and adopted and that do not alter the scope, content, or make the already adopted authorisation schemes or requirements more restrictive for the establishment or provision of services. The notification obligation should equally not apply to measures implementing binding Union

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				acts where such acts contain precise provisions to be implemented and there is no scope for divergence between Member States in the transposition and implementation of those acts. This exception should be understood in the light of the case-law of the Court of Justice of the European Union.
9.		(7b) In order to facilitate compliance by Member States with the notification obligation laid down in this Directive, the Commission should provide guidance regarding the practical aspects of the notification procedure, in particular for municipal and local authorities. In order to ensure that the notification obligation on such authorities is proportionate, draft measures implementing authorisation schemes or requirements which have already been notified to the	(7b) The notification obligation should also not apply to rules laid down in collective agreements negotiated by social partners which shall not be considered as requirements within the meaning of this Directive. This Directive applies only to requirements which affect the access to, or the exercise of, a service activity. Therefore, it does not apply to requirements, such as road traffic rules, rules concerning the development or use of land, town and country	YellowTo be further discussedCouncil to make a compromisesuggestion concerning urbanplanning(7b) This Directive does notapply to requirements, whichdo not specifically regulate orspecifically affect the serviceactivity, such as road trafficrules, rules concerning thedevelopment or use of land,town and country planning,building standards as well asadministrative penaltiesimposed for non-compliance

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		Commission and adopted by the	planning, building standards as	with such rules.
		Member State concerned at	well as administrative penalties	
		national level and which do not	imposed for non-compliance	
		alter the content of those	with such rules which do not	
		notified schemes or	specifically regulate or	
		requirements should not be	specifically affect the service	
		subject to notification. [Am. 4]	activity but have to be	
			respected by providers in the	
			<u>course of carrying out their</u>	
			economic activity in the same	
			way as by individuals acting in	
			their private capacity.	

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10.		(7c) Amendments or modifications to draft measures already subject to an ongoing notification procedure, which are introduced by Member States' parliaments at national or regional level in accordance with their parliamentary procedure, should not be covered by the ex-ante notification obligation. However, the Member State concerned should notify such modifications to the Commission without delay, and at the latest two weeks after their adoption. [Am. 5]	(7c) Directive 2006/123/EC is a horizontal legal instrument which affects a significant number of laws, regulations and administrative provisions at different levels within Member States' government structures. All levels of these structures are already subject to the notification obligation provided for in Directive 2006/123/EC. To facilitate the compliance by relevant authorities with this Directive, the Commission will issue guidance on the practical aspects of the notification procedure in order to maximise the efficiency of that procedure and to limit the administrative burden, including for municipal or local authorities.	Yellow (7c) Amendments or modifications to draft measures already subject to an ongoing notification procedure, which are introduced by Member States' parliaments at national or regional level in accordance with procedures laid down in national law their parliamentary procedure, should not be covered by the obligation to modify an ongoing notification of a draft measure before its adoption. However, the Member State concerned should modify the notification notify such modifications to the Commission without delay, and at the latest two weeks after the adoption.
11.	(8) The obligation for Member States to notify draft measures laying down authorisation schemes or	(8) The obligation for Member States to notify draft measures laying down authorisation schemes or	(8) The obligation for Member States to notify draft measures laying down authorisation schemes or	Green (8) The obligation for Member States to notify draft measures laying down authorisation schemes or

Row	COMMISSION	EP	COUNCIL - GENERAL	POSSIBLE COMPROMISE
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	requirements referred to in	requirements referred to in	requirements referred to in	requirements referred to in
	Article 4 of this Directive at least	Article 4 of this Directive at least	Article 4 of this Directive at least	Article 4 of this Directive at least
	three months before their	three months before their	three months before their	three months before their
	adoption is designed to ensure	adoption is designed to ensure	adoption is designed to ensure	adoption is designed to ensure
	that measures to be adopted	that measures to be adopted	that measures to be adopted	that measures to be adopted
	comply with Directive	comply with Directive	comply with Directive	comply with Directive
	2006/123/EC. In order for the	2006/123/EC. In order for the	2006/123/EC. In order for the	2006/123/EC. In order for the
	notification procedure to be	notification procedure to be	notification procedure to be	notification procedure to be
	effective, a consultation on	effective, a consultation on	effective, a consultation on	effective, a consultation on
	notified measures should take	notified measures should take	notified measures should take	notified measures should take
	place sufficiently in advance of	place sufficiently in advance of	place sufficiently in advance of	place sufficiently in advance of
	their adoption. This is appropriate	their adoption. This is appropriate	their adoption. This is appropriate	their adoption. This is appropriate
	to foster good cooperation and	to foster good cooperation and	to foster good cooperation and	to foster good cooperation and
	transparency between the	transparency between the	transparency between the	transparency between the
	Commission and Member States	Commission and Member States	Commission and Member States	Commission and Member States
	and to further develop exchanges	and to further develop exchanges	and to further develop exchanges	and to further develop exchanges
	between the Commission and	between the Commission and	between the Commission and	between the Commission and
	national authorities on new or	national authorities on new or	national authorities on new or	national authorities on new or
	amended authorisation schemes	amended authorisation schemes	amended authorisation schemes	amended authorisation schemes
	and certain requirements covered	and certain requirements covered	and certain requirements covered	and certain requirements covered
	by Directive 2006/123/EC, in	by Directive 2006/123/EC, in	by Directive 2006/123/EC, in	by Directive 2006/123/EC, in
	accordance with Article 4(3) of	accordance with Article $4(3)$ of	accordance with Article $4(3)$ of	accordance with Article 4(3) of
	the Treaty on European Union	the Treaty on European Union	the Treaty on European Union	the Treaty on European Union
	(TEU). With a view to ensuring	(TEU). With a view to ensuring	(TEU). With a view to ensuring	(TEU). With a view to ensuring
	the effectiveness of the	the effectiveness of the	the effectiveness of the	the effectiveness of the
	procedure, breach of the	procedure, breach of the	procedure, breach of the	procedure, breach of the
	obligation to notify or to refrain	obligation to notify or <u>a failure</u>	obligation to notify or to refrain	obligation to notify or <u>a failure</u>
	from adopting a notified measure,	to notify a to refrain from	from adopting a notified measure,	to notify a to refrain from
	including during the period	adopting a notified-measure,	including during the period	adopting a notified measure,

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	following the receipt of an alert,	including during the period	following the receipt of an alert,	including during the period
	should be considered to be a	following the receipt of an	should be considered to be a	following the receipt of an
	substantial procedural defect of a	alertwithin the time limits laid	substantial procedural defect of a	alert <i>within the time limits laid</i>
	serious nature as regards its	<u>down in this Directive</u> , should be	serious nature as regards its	<u>down in this Directive, should be</u>
	effects vis-à-vis individuals.	considered to be a substantial	effects vis-à-vis individuals.	considered to be a substantial
		procedural defect of a serious		procedural defect of a serious
		nature as regards its effects vis-à-		nature as regards its effects vis à-
		vis individuals. [Am. 6]		vis individuals. Failure to
				comply with the notification
				obligation, set out in this
				Directive, could seriously
				undermine the transparency and
				the consultation process, which
				could potentially lead to the
				creation of unjustified barriers
				in the single market to the
				detriment of service providers
				and recipients of services.
				Therefore, the effectiveness of
				the notification procedure
				should be ensured in compliance with Union law.

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12.	(9) In the spirit of transparency and cooperation, where substantive amendments are made to a draft measure that is subject to an ongoing notification procedure under this Directive, the Commission, other Member States and stakeholders should be made aware of such amendments by the notifying Member State in due time. Modifications of merely clerical nature should not be communicated.	(9) In the spirit of transparency and cooperation, where substantive amendments are made to a draft measure that is subject to an ongoing notification procedure under this Directive, the Commission, other Member States and stakeholders should be made aware of such amendments by the notifying Member State in due time <u>and</u> <u>should be given the opportunity</u> <u>to provide feedback on these</u> <u>amendments. The notification of</u> <u>substantive amendments should</u> <u>not significantly alter the</u> <u>deadlines set for the</u> <u>consultation. In such cases, the</u> <u>notify those modifications at</u> <u>least one month prior to their</u> <u>adoption.</u> Modifications of a merely clerical nature should not be communicated. [Am. 7]	(9) In the spirit of transparency and cooperation, where substantive <u>modifications</u> amendments are made to a draft measure that is subject to an ongoing notification procedure under this Directive, the Commission, other Member States and stakeholders should be made aware of such amendments <u>modifications</u> by the notifying Member State in due time <u>. To</u> <u>this end the notifying Member</u> <u>State should amend the initial</u> <u>notification of the draft</u> <u>measure</u> . Modifications of merely clerical nature should not be communicated.	Yellow To be further discussed
13.	(10) The information to be submitted by the notifying72011EN.docx	(10) The information to be submitted by the notifying	(10) The information to be submitted by the notifying	Green 10) The information to be submitted by the notifying PE632.049v01-00

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	Member State should be	Member State should be	Member State should be	Member State should be
	sufficient to assess compliance	sufficient to assess compliance	sufficient to assess compliance	sufficient to assess compliance
	with Directive 2006/123/EC and,	with Directive 2006/123/EC and,	with Directive 2006/123/EC and,	with Directive 2006/123/EC and,
	in particular, the proportionality	in particular, the proportionality	in particular, the proportionality	in particular, the proportionality
	of a notified authorisation	of a notified authorisation	of a notified authorisation	of a notified authorisation
	scheme or requirement.	scheme or requirement.	scheme or requirement.	scheme or requirement.
	Therefore, in accordance with the	Therefore, in accordance with the	Therefore, in accordance with the	Therefore, in accordance with
	case-law of the Court of Justice	case-law of the Court of Justice	obligations under Directive	Directive 2006/123/EC and the
	of the European Union (CJEU),	of the European Union (CJEU),	2006/123/EC and the case-law	case-law of the Court of Justice
	such information should clarify	such information should clarify	of the Court of Justice of the	of the European Union (CJEU),
	the public interest objective	the public interest objective	European Union (CJEU), such	such information should <i>include</i>
	pursued, set out how the notified	pursued, set out <i>explaining</i> how	information should clarify the	a sufficient explanation
	authorisation scheme or	the notified authorisation scheme	public interest objective pursued,	demonstrating that the notified
	requirement is necessary and	or requirement is necessary and	set out how the notified	authorisation scheme or
	justified to meet this objective	justified to meet this objective	authorisation scheme or	requirement is non-
	and explain how it is	and explain how it is	requirement is necessary and	discriminatory, necessary in the
	proportionate in doing so; thus, it	proportionate in doing so; for	justified to meet this objective	sense that it is justified by an
	should include explanations on	meeting that objective. Thus, it	and explain how it is	overriding reason relating to the
	why it is suitable, why it does not	the notified authorisation	proportionate in doing so.; thus,	public interest and proportionate
	go beyond what is necessary and	scheme or requirement should	it should include explanations on	to meet this objective. The
	why no alternative and less	include sufficient explanations	why it is suitable, why it does not	information on the
	restrictive means would be	on why it is suitable, why it does	go beyond what is necessary and	proportionality of the
	available. The reasons which may	not go beyond what is necessary	why no alternative and less	authorisation scheme or
	be invoked by the Member State	and why no alternative, and less	restrictive means would be	<i>requirement should include</i> an
	concerned by way of justification	restrictive means would be are	available. The reasons which may	explanation <i>as to</i> why it is
	should be accompanied by	available. The reasons which may	be invoked by the Member State	suitable, why it does not go
	appropriate evidence and by an	be invoked by the Member State	concerned by way of justification	beyond what is necessary and
	analysis of the proportionality of	concerned by way of justification	should be accompanied by	why no alternative, and less
		should be accompanied by	appropriate evidence and by an	restrictive means would be are

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	the notified measure.	appropriate evidence and by an	analysis of the proportionality of	available. The reasons which may
		analysis of the proportionality of	the notified measure.	be invoked by the Member State
		the notified measure. [Am.8]		concerned by way of justification
				should be accompanied by
				appropriate evidence and by an
				analysis of the proportionality of
				the notified measure.

Row 14.	COMMISSION COM(2016)821	EP A8-0396/2017 (10a) By way of derogation from the normal notification procedure, it should be possible for Member States, in exceptional cases, to act rapidly	COUNCIL - GENERAL APPROACH 9507/17 (10a) <u>Member States should</u> not be precluded from acting in a very short space of time for urgent reasons occasioned by serious and unforeseeable	POSSIBLE COMPROMISE SOLUTIONLight green(10a) By way of derogation from the normal notification procedure, it should be possible for Member States, in exceptional cases, to act rapidly
		unforeseeable circumstances relating to public policy, public security, public health or the protection of the environment. That exception should not be used to circumvent the implementation of the notification procedure laid down in this Directive. As a result, Member States should notify all such adopted measures to the Commission, together with their content and the reasons for the urgency that triggered their adoption, without undue delay and in any case not later than the day on which those urgent measures were adopted. [Am. 9]	public security, public health or the protection of the environment. This derogation from the notification procedure due to urgent reasons shall not be used to circumvent the application of the notification procedure established under this Directive.	regarding serious and <u>unforeseeable circumstances</u> <u>relating to public policy, public</u> <u>security, public health or the</u> <u>protection of the environment.</u> <u>That exception should not be</u> <u>used to circumvent the</u> <u>implementation of the</u> <u>notification procedure laid down</u> <u>in this Directive. As a result,</u> <u>Member States should notify all</u> <u>such adopted measures to the</u> <u>Commission, together with their</u> <u>content and the reasons for the</u> <u>urgency that triggered their</u> <u>adoption, without undue delay</u> <u>and in any case not later than 3</u> <u>days after the adoption of the</u> <u>urgent measures.</u>

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15.	(11) In order to ensure an effective exchange of information between the Member States and the Commission, the Internal Market Information System set up by Regulation (EU) No 1024/2012 of the European Parliament and of the Council ¹ should continue to be used under this Directive.	(11) In order to ensure an effective exchange of information between the Member States and the Commission, the Internal Market Information System set up by Regulation (EU) No 1024/2012 of the European Parliament and of the Council ² should continue to be used under this Directive.	 (11) In order to ensure an effective exchange of information between the Member States and the Commission, the Internal Market Information System set up by Regulation (EU) No 1024/2012 of the European Parliament and of the Council³ should continue to be used under this Directive. 	Green (11) In order to ensure an effective exchange of information between the Member States and the Commission, the Internal Market Information System set up by Regulation (EU) No 1024/2012 of the European Parliament and of the Council ⁴ should continue to be used under this Directive.

¹ Regulation (EU) No 1024/2012 of the European Parliament and of the Council of 25 October 2012 on administrative cooperation through the Internal Market Information System and repealing Commission Decision 2008/49/EC ('the IMI Regulation')(OJ L 316, 14.11.2012, p. 1).

² Regulation (EU) No 1024/2012 of the European Parliament and of the Council of 25 October 2012 on administrative cooperation through the Internal Market Information System and repealing Commission Decision 2008/49/EC ('the IMI Regulation')(OJ L 316, 14.11.2012, p. 1).

³ Regulation (EU) No 1024/2012 of the European Parliament and of the Council of 25 October 2012 on administrative cooperation through the Internal Market Information System and repealing Commission Decision 2008/49/EC ('the IMI Regulation')(OJ L 316, 14.11.2012, p. 1).

⁴ Regulation (EU) No 1024/2012 of the European Parliament and of the Council of 25 October 2012 on administrative cooperation through the Internal Market Information System and repealing Commission Decision 2008/49/EC ('the IMI Regulation')(OJ L 316, 14.11.2012, p. 1).

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Row	COMMISSION COM(2016)821	EP A8-0396/2017	COUNCIL - GENERAL APPROACH 9507/17	POSSIBLE COMPROMISE SOLUTION
16.	(12) The notification	(12) The notification	(12) The notification	Red
10.	obligation set out in Directive	obligation set out in Directive	obligation set out in Directive	
	2006/123/EC requires Member	2006/123/EC requires Member	2006/123/EC requires Member	
	States to inform the Commission	States to inform the Commission	States to inform the Commission	
	and other Member States of	and other Member States of	and other Member States of	
	requirements covered by Article	requirements covered by Article	requirements covered by Article	
	15(2), the third subparagraph of	15(2), the third subparagraph of	15(2), the third subparagraph of	
	Article 16(1) and the first	Article 16(1) and the first	Article 16(1) and the first	
	sentence of Article 16(3) of	sentence of Article 16(3) of	sentence of Article 16(3) of	
	Directive 2006/123/EC. The	Directive 2006/123/EC. The	Directive 2006/123/EC. The	
	application of that Directive has	application of that Directive has	application of that Directive has	
	shown that authorisation schemes	shown that authorisation schemes	shown that authorisation schemes	
	or requirements related to	or requirements related to	or requirements related to	
	authorisation schemes,	authorisation schemes,	authorisation schemes ,	
	professional liability insurance,	professional liability insurance,	professional liability insurance,	
	guarantees or similar	professional rules on	guarantees or similar	
	arrangements, and multi-	commercial communication,	arrangements, and multi-	
	disciplinary restrictions are	guarantees or similar	disciplinary restrictions are	
	common and can constitute	arrangements, and multi-	common and can constitute	
	important barriers in the single	disciplinary restrictions are	important significant barriers in	
	market for services. They should	common and can constitute	the single market for services.	
	hence also be covered by a	important barriers in the single	They should hence also be	
	notification obligation to	market for services. They should	covered by a notification	
	facilitate the compliance of	hence also be covered by a	obligation to facilitate the	
	relevant Member States' draft	notification obligation to	compliance of relevant Member	
	laws, regulations and	facilitate the compliance of	States' draft laws, regulations and	
	administrative provisions with	relevant Member States' draft	administrative provisions with	
	Directive 2006/123/EC. The	laws, regulations and	Directive 2006/123/EC. The	
	requirements mentioned in	administrative provisions with	requirements mentioned in	DEC22 040 01 00
CI/II	72011EP fb(2) of Directive	Directive 2006/123/EC. The ^{23/3}		PE632.049v01-00
	2006/123/EC are covered by the	requirements mentioned in	2006/123/EC are covered by the	<u> </u>
	notification obligation to the	Article 16(2) of Directive	notification obligation to the	EN
	extent that they fall under Article	2006/123/EC are covered by the	extent that they fall under Article	
	16(3).	notification obligation to the	16(3).	
		extent that they fall under Article		
		16(2) [Am 10]		

Row	COMMISSION COM(2016)821	EP A8-0396/2017	COUNCIL - GENERAL APPROACH 9507/17	POSSIBLE COMPROMISE SOLUTION
17.	(13) The present Directive establishes a consultation of three months to allow an assessment of notified draft measures as well as an effective dialogue with the notifying Member State. In order to make the consultation work in practice and to allow Member States, the Commission and stakeholders to effectively provide their comments, Member States should notify draft measures at least three months prior to their adoption. Notifying Member States should take into account the comments made on the notified draft measure, in compliance with Union law.	(13) <u>As general rule</u> , the present Directive establishes a consultation of three months to allow an assessment of notified draft measures as well as an effective dialogue with the notifying Member State. In order to make the consultation work in practice and to allow Member States , <u>and</u> the Commission and stakeholders-to effectively provide their comments or observations, Member States should notify draft measures at least three months prior to their adoption. <u>Whereas comments</u> <u>relate to the compatibility of the</u> <u>notified measure with the</u> <u>provisions of Directive</u> <u>2006/123/EC, Member States,</u> <u>the Commission and</u> <u>stakeholders may also share</u> <u>more general observations in</u> <u>order to, inter alia, exchange</u> <u>best practices and strengthen</u> <u>their cooperation and overall</u>	(13) The present Directive establishes a consultation of three months to allow <u>for</u> an assessment of notified draft measures as well as <u>for</u> an effective dialogue with the notifying Member State. In order to make the consultation work in practice and to allow Member States <u>and</u> the Commission and stakeholders to effectively provide their comments, Member States should notify draft measures at least three months prior to their adoption. Notifying Member States should take into account the comments made on the notified draft measure, in compliance with Union law. <u>Where the notifying Member State decides not to proceed</u> with the adoption of the <u>notified measure, it shall be</u> <u>possible for that Member State</u> <u>to withdraw its notification</u> <u>related to that measure at any</u>	Yellow To be further discussed
CT\11	172011EN.docx	exchange of information. Where the notification concerns only modifications to the already notified draft measure under consultation, such a notification of modifications should be subject to a shorter consultation period of one month. Notifying Member States should take into	point in time during the notification procedure.	PE632.049v01-00 EN

Row	COMMISSION	EP	COUNCIL - GENERAL	POSSIBLE COMPROMISE
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18. CT\11	 (14) Where following the consultation the Commission still has concerns about the compliance with Directive 2006/123/EC of the notified draft measure, it may alert the notifying Member State, giving it the opportunity to bring its draft measure into conformity with EU law. That alert should include an explanation of the legal concerns identified by the Commission. Reception of such an alert entails that the notifying Member State shall not adopt the notified measure for three months. 72011EN.docx 	(14) Where following the consultation the Commission still has concerns about the compliance with Directive 2006/123/EC of the notified draft measure, it may alert the notifying Member State, giving it the opportunity to <i>provide further explanations or</i> bring its draft measure into conformity with EU-Union law. That alert should include an a detailed explanation of the legal concerns identified by the Commission <u>or</u> other Member States. Reception of such an alert entails that the notifying Member State shall not adopt the notified draft measure for three months. [Am. 12]	(14) Where following the consultation the Commission has issued comments during the consultation period raising still has concerns about the compliance-with Directive 2006/123/EC of the notified draft measure with Directive 2006/123/EC, it may alert, before the closure of that period and where such concerns persist, issue a notice to the notifying Member State, giving it the opportunity to provide further explanations or to bring its draft measure into conformity with EU law. That alert notice should include an explanation of the legal concerns identified by the Commission. Reception of such an alert a notice allows the notifying Member States from adopting the notified measure for three months.	Red(14)First part to be further discussed. Last part: Receipt of such a notice allows the notifying Member State and

Row	COMMISSION	EP	COUNCIL - GENERAL	POSSIBLE COMPROMISE
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19.	(15) Failure to comply with the obligation to notify draft measures at least three months prior to their adoption and/or to refrain from adopting the notified measure during this period and, as the case may be, during the 3 months following the reception of an alert, should be considered to be a substantial procedural defect of a serious nature as regards its effects vis-à-vis individuals.	(15) Failure to comply with the obligation to notify draft measures at least three months prior to their adoption and/or to refrain from adopting or <u>modifications to</u> the <u>already</u> notified measure during this <u>draft</u> <u>measures or measures that have</u> <u>been adopted in accordance with</u> <u>this Directive within the</u> <u>prescribed</u> period-and, as the case may be, during the 3 months following the reception of an alert, should be considered to be a substantial procedural defect of a serious nature as regards its effects vis-à-vis individuals. [Am. 13]	(15) Failure to comply with the obligation to notify draft measures at least three months prior to their adoption and/or to refrain from adopting the notified measure during this period and, as the case may be, during the 3 months following the reception of an alert, should be considered to be a substantial procedural defect of a serious nature as regards its effects vis-à-vis individuals(DELETED)	Deleted

Row	COMMISSION	EP	COUNCIL - GENERAL	POSSIBLE COMPROMISE
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20.	(16) To ensure the efficiency,	(16) To ensure the efficiency,	(16) To ensure the efficiency,	Yellow
	effectiveness and coherence of	effectiveness and coherence of	effectiveness and coherence of	To be further discussed in
	the notification procedure, the	the notification procedure, with	the notification procedure, With	accordance with the articles
	Commission should retain the	regard to authorisation schemes	regard to requirements falling	
	power to adopt Decisions	or requirements falling under	within the scope of Article 4(b),	
	requiring the Member State in	the scope of points (a), (c), (d)	the Commission should retain the	
	question to refrain from adopting	and (e) of Article 4, it should be	power to adopt <u>a</u> D d ecision s	
	notified measures or, if already	possible for the Commission to	requiring requesting the Member	
	adopted, to repeal them, where	adopt Recommendations	State in question to refrain from	
	they violate Directive	requesting the Member State in	adopting notified measures or, if	
	2006/123/EC.	question to adapt the notified	already adopted, to repeal them,	
		measures concerned, to refrain	where they violate the	
		from adopting them or, if they	Commission still has serious	
		have already been adopted, to	<u>concerns about the</u>	
		repeal them in order to address	compatibility of the notified	
		the serious concerns about the	measures with violate Directive	
		compatibility of such measures	2006/123/EC.	
		with Directive 2006/123/EC.		
		With regard to requirements		
		falling under the scope of point		
		(b) of Article 4, the Commission		
		should retain the power to adopt		
		Decisions requiring requesting		
		the Member State in question to		
		refrain from adopting notified		
		measures or, if <i>they have</i> already		
		been adopted, to repeal them,		
		where they violate Directive		
CT \11	72011EN 1	2006/123/EC. <u>Under Article 263</u>	2	DEC22 040-01 00
CI/II	72011EN.docx	TFEU, the Court of Justice of 27/3	3	PE632.049v01-00
		the European Union has		
		jurisdiction to review the legality		EN
		of certain acts adopted by the		
		Commission, including actions		
		brought by Member States.		
		Under Antials 350 TEEH if		

Row	COMMISSION	EP	COUNCIL - GENERAL	POSSIBLE COMPROMISE
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21.			(16a) When adopting a decision, the Commission shall ensure that the Member State to which that decision is addressed has the opportunity to inform the Commission of its position on the concerns raised on the compatibility of the measure with Directive 2006/123/EC. Decisions are subject to the control of the legality by the Court of Justice of the European Union, according to the powers conferred to the Court by the Treaties.	Yellow To be further discussed

Row	COMMISSION COM(2016)821	EP A8-0396/2017	COUNCIL - GENERAL APPROACH 9507/17	POSSIBLE COMPROMISE SOLUTION
22.			(16b) With regard to authorisation schemes; requirements affecting the freedom to provide services and multi-disciplinary restrictions, the Commission should be given the possibility to adopt recommendations requesting the Member State in question to adapt the notified measures in order to address the serious concerns about the compatibility of those measures with Directive 2006/123/EC.	Yellow To be further discussed
23.	(17) Interested third parties should be given access to notifications sent by Member States in order to make them aware of planned authorisation schemes or certain requirements related to services in markets in which they actually or potentially operate and to enable them to provide comments thereon.	(17) <u>In order to promote</u> <u>transparency between Member</u> <u>States and</u> interested third parties, <u>interested third parties</u> should be given access to notifications sent by Member States in order to make them, <u>so</u> <u>that they are</u> aware of planned <u>or</u> <u>introduced</u> authorisation schemes or certain requirements related to services in markets in which they	(17) <u>A further objective of</u> <u>this Directive is to promote</u> <u>transparency between Member</u> <u>States and interested third</u> <u>parties. The latter Interested</u> <u>third parties</u> should be given access to notifications sent by Member States in order to make them aware of planned authorisation schemes or certain requirements related to services in markets in which they actually	Yellow To be further discussed (article 8)

Row	COMMISSION	EP	COUNCIL - GENERAL	POSSIBLE COMPROMISE
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		actually or potentially operate	or potentially operate and to	
		and to enable them, and a	enable them to provide comments	
		<i>possibility</i> to provide comments	thereon. The Commission	
		<u>feedback</u> thereon. <u>The</u>	should facilitate the possibility	
		Commission should facilitate the	for interested third parties to	
		possibility to comment on the	comment on the notifications	
		notifications made by Member	made by the Member States	
		States within the consultation	within the consultation period.	
		period and inform the Member		
		<u>State concerned of relevant</u>		
		<u>feedback, if it considers this to</u>		
		be necessary. The Commission		
		should send the feedback from		
		interested third parties to the		
		Member State concerned only		
		where it considers that the		
		feedback is substantive and		
		would contribute significantly to		
		the Member State's assessment		
		of the measure in question.		
		[<u>Am. 15]</u>		

Row	COMMISSION	EP	COUNCIL - GENERAL	POSSIBLE COMPROMISE
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24.	(18) This Directive does not	(18) This Directive does not	(18) This Directive does not	(18) This Directive does not
	affect the obligations of Member	affect the obligations of Member	affect the obligations of Member	affect the obligations of Member
	States to notify the requirements	States to notify the requirements	States to notify the requirements	States to notify the requirements
	related to information society	related to information society	related to information society	related to information society
	services under Directive (EU)	services under Directive (EU)	services under Directive (EU)	services under Directive (EU)
	2015/1535. In order to avoid	2015/1535. In order to avoid	2015/1535. In order to avoid <u>the</u>	2015/1535. In order to avoid <u>the</u>
	duplications of notifications, a	duplications of notifications, a	duplication s of notifications, a	duplication s of notifications, a
	notification carried out under that	notification carried out under that	notification carried out under that	notification carried out under that
	Directive and in compliance with	Directive and in compliance with	Directive and in compliance with	Directive and in compliance with
	the relevant obligations laid	the relevant obligations laid	the relevant obligations laid	the relevant obligations laid
	down in this Directive should be	down in this Directive should be	down in this Directive should be	down in this Directive should be
	deemed to equally fulfil the	deemed to equally fulfil the	deemed to equally fulfil the	deemed to equally fulfil the
	notification obligation	notification obligation	notification obligation	notification obligation
	established under this Directive.	established under this Directive.	established under this Directive.	established under this Directive.

Row	COMMISSION COM(2016)821	EP A8-0396/2017	COUNCIL - GENERAL APPROACH 9507/17	POSSIBLE COMPROMISE SOLUTION
25.	(19) For the same reason, a notification completed under this Directive should be deemed to fulfil the reporting obligations of Member States under Article 59(5) of Directive 2005/36/EC of the European Parliament and of the Council ¹ .	(19) For the same reason, a notification completed under this Directive should be deemed to fulfil the reporting obligations of Member States under Article 59(5) of Directive 2005/36/EC of the European Parliament and of the Council ² .	(19) For the same reason, a notification completed under this Directive should be deemed to fulfil the reporting obligations of Member States under Article 59(5) of Directive 2005/36/EC of the European Parliament and of the Council ³ .	Green (19) For the same reason, a notification completed under this Directive should be deemed to fulfil the reporting obligations of Member States under Article 59(5) of Directive 2005/36/EC of the European Parliament and of the Council ⁴ .
26.	(20) As a consequence of the establishment of the notification procedure provided for in this Directive, the provisions of Directive 2006/123/EC concerning notification procedures should be deleted. Regulation (EU) 1024/2012 should be amended accordingly.	(20) As a consequence of the establishment of the notification procedure provided for in this Directive, the provisions of Directive 2006/123/EC concerning notification procedures should be deleted. Regulation (EU) 1024/2012 should be amended accordingly.	(20) As a consequence of the establishment of the notification procedure provided for in this Directive, the provisions of Directive 2006/123/EC concerning notification procedures should be deleted. Regulation (EU) 1024/2012 should be amended accordingly.	Green (20) As a consequence of the establishment of the notification procedure provided for in this Directive, the provisions of Directive 2006/123/EC concerning notification procedures should be deleted. Regulation (EU) 1024/2012 should be amended accordingly.

¹ Directive 2005/36/EC of the European Parliament and of the Council of 7 September 2005 on the recognition of professional qualifications (OJ L 255, 30.9.2005, p. 22).

² Directive 2005/36/EC of the European Parliament and of the Council of 7 September 2005 on the recognition of professional qualifications (OJ L 255, 30.9.2005, p. 22).

³ Directive 2005/36/EC of the European Parliament and of the Council of 7 September 2005 on the recognition of professional qualifications (OJ L 255, 30.9.2005, p. 22).

⁴ Directive 2005/36/EC of the European Parliament and of the Council of 7 September 2005 on the recognition of professional qualifications (OJ L 255, 30.9.2005, p. 22). CT\1172011EN.docx 32/33 PE632.049v01-00

Row	COMMISSION	EP	COUNCIL - GENERAL	POSSIBLE COMPROMISE
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27.	(21) Since the objective of this Directive, namely establishing a notification procedure for the better enforcement of Directive 2006/123/EC facilitating the freedom of establishment for services providers and the freedom to provide services in the single market, cannot be sufficiently achieved by action at the level of Member States alone and can by reason of its scale and effect be better achieved at the Union level, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 TEU. In accordance with the principle of proportionality this Directive does not go beyond what is necessary in order to achieve its objective.	(21) Since the objective of this Directive, namely establishing a notification procedure for the better enforcement of Directive 2006/123/EC facilitating the freedom of establishment for services providers and the freedom to provide services in the single market, cannot be sufficiently achieved by action at the level of Member States alone and can by reason of its scale and effect be better achieved at the Union level, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 TEU. In accordance with the principle of proportionality this Directive does not go beyond what is necessary in order to achieve its objective.	(21) Since the objective of this Directive, namely, establishing a notification procedure for the better enforcement of Directive 2006/123/EC facilitating the freedom of establishment for services providers and the freedom to provide services in the single market, cannot be sufficiently achieved by action at the level of Member States alone and can by reason of its scale and effect be better achieved at the Union level, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 TEU. In accordance with the principle of proportionality this Directive does not go beyond what is necessary in order to achieve its objective.	Green (21) Since the objective of this Directive, namely, establishing a notification procedure for the better enforcement of Directive 2006/123/EC facilitating the freedom of establishment for services providers and the freedom to provide services in the single market, cannot be sufficiently achieved by action at the level of Member States alone and can by reason of its scale and effect be better achieved at the Union level, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 TEU. In accordance with the principle of proportionality this Directive does not go beyond what is necessary in order to achieve its objective.