Draft Regulation of the European Parliament and of the Council establishing the Neighbourhood, Development and International Cooperation Instrument (NDICI)*

General provisions, Architecture and structure

- Version of 6 June 2020 -

- Article 1 Subject matter
- Article 3 Objectives
- Article 4 Scope and structure
- Article 5 Coherence, consistency and complementarity
- Article 7 Policy framework
- Article 8 General principles (to be updated after trilogue based on agreement in the CBDSD package)
- Article 15 Cushion

Lines in dark green are provisionally closed.

Lines in light green are provisionally closed, pending agreement on parts of them related to issues outside this cluster.

The proposed Regulation is part of the package of proposals linked to the MFF 2021-2027 and therefore dependent on the outcome of the horizontal negotiations. Pending these, the reference amounts in Article 6 are put within square brackets. Other provisions of the draft NDICI Regulation appear in square brackets due to their inclusion in the draft Negotiating Box set out in ST 10010/19. Moreover, other provisions have been bracketed and the discussions on them are ongoing. All provisions which appear between square brackets are excluded from the Council negotiating mandate at this stage. Provisions related to the participation of non-EU countries have not been amended due to the horizontal nature of such provisions.



Parts in grey are not discussed under this cluster, either because they are not part of the Council's partial mandate for negotiations or because they are part of another cluster. In both cases, they will be discussed at a later stage.

Parts in yellow are not agreed yet but an agreement could be reached at technical level.

Parts in red are not agreed and should be discussed during the Trilogue.

Line	Commission proposal	EP position	Council partial	Possible compromise	Comments
		-	negotiating mandate	_	
61.	TITLE I	TITLE I	TITLE I	TITLE I	Provisionally closed
	GENERAL	GENERAL	GENERAL	GENERAL	
	PROVISIONS	PROVISIONS	PROVISIONS	PROVISIONS	
52.	Article 1	Article 1	Article 1	Article 1	Provisionally closed
	Subject matter	Subject matter	Subject matter	Subject matter	
53.	This Regulation	This Regulation	This Regulation	This Regulation	NB: Name to be agreed at a
	establishes the	establishes the	establishes the	establishes the	later stage
	Programme	Programme	Programme	Programme	
	"Neighbourhood,	"Neighbourhood,	"[Neighbourhood],	"/Neighbourhood],	
	Development and	Development and	Development and	Development and	
	International	International	International Cooperation	International Cooperation	
	Cooperation	Cooperation	Instrument" (the	Instrument" (the	
	Instrument" (the	Instrument" (the	'Instrument').	'Instrument').	
	'Instrument').	'Instrument').			
64.	It lays down the	It lays down the	It lays down the	It lays down the	Provisionally closed
	objectives of the	objectives of the	objectives of the	objectives of the	Trovisionally closed
	Instrument, the budget	Instrument, the budget	Instrument, the budget	Instrument, the budget	
	for the period 2021 –	for the period 2021 –	for the period 2021 –	for the period 2021 –	
	2027, the forms of	2027, the forms of	2027, the forms of Union	2027, the forms of Union	
	Union funding and the	Union funding and the	funding and the rules for	funding and the rules for	
	rules for providing	rules for providing such	providing such funding.	providing such funding.	
	such funding.	funding.			

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Line	Commission proposal	EP position	Council partial	Possible compromise	Comments
65.	It also establishes the European Fund for Sustainable Development Plus (the 'EFSD+') and an External Action Guarantee.	It also establishes the European Fund for Sustainable Development Plus (the 'EFSD+') and an External Action Guarantee.	<i>negotiating mandate</i> It also establishes the European Fund for Sustainable Development Plus (the 'EFSD+') and an External Action Guarantee.	It also establishes the European Fund for Sustainable Development Plus (the 'EFSD+') and an External Action Guarantee.	Provisionally closed
76.	Article 3 Objectives	Article 3 Objectives	Article 3 Objectives	Article 3 Objectives	Provisionally closed
77.	1. The general objective of this Regulation is to uphold and promote the Union's values and interests worldwide in order to pursue the objectives and principles of the Union's external action, as laid down in Article 3(5), Articles 8 and 21 of the Treaty on European Union.	1. The general objective of this Regulation is to <i>lay down the financial</i> <i>framework enabling</i> <i>the Union to</i> uphold and promote the Union's its values, and <i>principles and</i> <i>fundamental</i> interests worldwide, in order to pursue <i>in accordance</i> <i>with</i> the objectives and principles of the Union's external action, as laid down in Article 3(5), Articles 8 and 21 of the Treaty on European Union TEU, <i>as well as Articles 11</i> <i>and 208 TFEU</i> .	1. The general objective of this Regulation is to uphold and promote the Union's values and interests worldwide in order to pursue the objectives and principles of the Union's external action, as laid down in Article 3(5), Articles 8 and 21 of the Treaty on European Union-, thus contributing to the eradication of poverty, consolidating, supporting and promoting democracy, rule of law and respect for human rights, sustainable development and the fight against	1. The general objective of this Regulation is to uphold and promote the Union's values, <i>principles and</i> <i>fundamental</i> interests worldwide, in order to pursue the objectives and principles of the Union's external action, as laid down in Article 3(5), Articles 8 and 21 of the Treaty on European Union - , <i>thus</i> <i>contributing to the</i> <i>reduction and, in the</i> <i>long term, the</i> <i>eradication of poverty,</i> <i>consolidating,</i> <i>supporting and</i> <i>promoting democracy,</i>	 [1] EP: no text. CL: "and addressing irregular migration and forced displacement, including their root causes" NB: possibility to revert to art. 3.1 following final decision on the structure of the Regulation. Neighbourhood to be discussed later.

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
			climate change and addressing irregular migration and forced displacement, including their root causes, towards the achievement of the Sustainable Development Goals. This Regulation shall promote stronger partnerships with third countries, [including with the European Neighbourhood] based on mutual interests and ownership with a view to fostering stabilisation, good governance and building resilience.	rule of law and respect for human rights, sustainable development and the fight against climate change {1}. This Regulation shall contribute to the promotion of multilateralism, the achievement of the international commitments and objectives that the Union has agreed to, in particular the Sustainable Development Goals, the 2030 Agenda and the Paris Agreement. This Regulation shall promote stronger partnerships with third countries, [including with the European Neighbourhood] based on mutual interests and ownership with a view to fostering stabilisation, good governance and building resilience.	



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78.	2. In accordance with paragraph 1, the specific objectives of this Regulation are the following:	2. In accordance with paragraph 1, the specific objectives of this Regulation are the following:	<i>negotiating mandate</i> 2. In accordance with paragraph 1, the specific objectives of this Regulation are the following:	2. In accordance with paragraph 1, the specific objectives of this Regulation are the following:	Provisionally closed
79.	(a) to support and foster dialogue and cooperation with third countries and regions in the Neighbourhood, in Sub-Saharan Africa, in Asia and the Pacific, and in the Americas and the Caribbean;	(a) to support and foster dialogue and cooperation with third countries and regions in the Neighbourhood, in Sub-Saharan Africa, in Asia and the Pacific, and in the Americas and the Caribbean;	(a) to support and foster dialogue and cooperation with third countries and regions <i>[</i> in the Neighbourhood <i>]</i> , in Sub- Saharan Africa, in Asia and the Pacific, and in the Americas and the Caribbean;	(a) to support and foster dialogue and cooperation with third countries and regions <i>[</i> in the Neighbourhood <i>]</i> , in Sub- Saharan Africa, in Asia and the Pacific, and in the Americas and the Caribbean;	
79. bis		(aa) to contribute to the achievement of the international commitments and objectives that the Union has agreed to, in particular the 2030 Agenda, the SDGs and the Paris Agreement;	[(a bis) DELETED.]	{1}	Provisionally closed {1} CL: possible text on Neighbourhood specificities, to be addressed later depending on the final structure of the Instrument.
79. ter		(ab) to develop a special strengthened relationship with the countries in the eastern and southern neighbourhood of the Union, founded on			Provisionally closed



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		cooperation, peace and security, mutual accountability and shared commitment to the universal values of democracy, rule of law and respect for human rights, socio-economic integration and environmental protection and climate action;			
79. quater		(ac) to pursue the reduction and, in the long term, the eradication of poverty, particularly in least developed countries (LDCs); to enable sustainable social and economic development;			Provisionally closed
80.	(b) at global level, to consolidate and support democracy, rule of law and human rights, support civil society organisations, further stability and peace and address other global challenges	(b) at global level, to consolidate and support democracy, rule of law and human rights, support civil society organisations <i>and local</i> <i>authorities</i> , further stability and peace, <i>prevent conflict and</i>	(b) at global level, to consolidate and support advance democracy, good governance, rule of law and human rights, including gender equality and women's and girls' empowerment, support civil society	 (b) at global level: to protect, promote and advance human rights, including gender equality and the protection of human rights defenders, including in the most 	{1} EP: " and local authorities " CL: no text

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	including migration and mobility;	promote just and inclusive societies, advance multilateralism, international justice and accountability, and address other global and regional challenges including migration and mobility climate change and environmental degradation as well as foreign policy needs and priorities, as set out in Annex III, including the promotion of confidence building and good neighbourly relations;	organisations, including human rights defenders, protection of civilians, further stability, conflict prevention and peace and address other global challenges including such as climate change, protection of biodiversity and the environment, engagement with local authorities, as well as migration and mobility;	 difficult circumstances and urgent situations, democracy, and the rule of law, including accountability mechanisms, to consolidate and support democracy, rule of law and human rights, support civil society organisations [1], to further stability and peace, prevent conflict, thereby contributing to the protection of civilians, to address other global challenges including such as climate change, protection of biodiversity and the environment [2] [3]. 	<pre>{2} EP: no text. CL: ", engagement with local authorities" {3} EP: no text. CL: ", as well as migration and mobility" {4} EP: "- to address foreign policy needs and priorities" CL: no text.</pre>
80. bis		(ba) to protect, promote and advance human rights, democracy, the			Provisionally closed



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		rule of law as well as gender and social equality, including in the most difficult circumstances and urgent situations, in partnership with civil society including human rights defenders worldwide;			
81.	(c) to respond rapidly to: situations of crisis, instability and conflict; resilience challenges and linking of humanitarian aid and development action; and foreign policy needs and priorities.	(c) to respond rapidly to: situations of crisis, instability and conflict; resilience challenges and linking of humanitarian aid and development action ; and foreign policy needs and priorities.	(c) to respond rapidly to: situations of crisis, instability and conflict, <i>including irregular</i> <i>migratory pressure and</i> <i>forced displacement and</i> <i>hybrid threats;</i> resilience challenges, <i>including</i> <i>natural and man-made</i> <i>disasters,</i> and linking of humanitarian aid and development action; and <i>Union</i> foreign policy needs and priorities.	(c) to respond rapidly to: situations of crisis, instability and conflict <i>including in response to</i> <i>hybrid threats;</i> resilience challenges, <i>including {1}</i> <i>natural and man-made</i> <i>disasters</i> , and linking of humanitarian aid and development action; [2].	Compromise on hybrid threats subject to alignment of references to hybrid threats in corresponding rapid response annex (i.e. only in crisis response) {1} EP: no text. CL: "irregular migratory pressure and forced displacement," {2} EP: no text. CL: "and Union foreign policy
82.	The achievement of these objectives shall	The achievement of these objectives shall be	The achievement of these objectives shall be	The achievement of these objectives shall be	needs and priorities" Provisionally closed
	be measured using	measured using relevant	measured using relevant	measured using relevant	

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	relevant indicators as referred to in Article 31.	indicators as referred to in Article 31.	indicators as referred to in Article 31.	indicators as referred to in Article 31.	
83.	3. At least 92 % of the expenditure under this Regulation shall fulfil the criteria for Official Development Assistance, established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development.	3. At least 92% 95% of the expenditure under this Regulation shall fulfil the criteria for Official Development Assistance, established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development. This Regulation shall contribute to reaching the collective target of achieving 0,2% of the Union's Gross National Income to Least Developed Countries and 0,7% of the Union Gross National Income as Official Development Assistance within the timeframe of the 2030 Agenda.	3. At least 92 % of the expenditure under this Regulation shall fulfil the criteria for Official Development Assistance, established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development. [DELETED].	3. At least [1]% of the expenditure under this Regulation shall fulfil the criteria for Official Development Assistance, established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development. [2] [3]	<pre>{1} EP: "95" CL: "92" {2} EP: "This Regulation shall contribute to reaching the collective target of achieving 0.2 % of the Union's Gross National Income to Least Developed Countries and 0.7 % of the Union Gross National Income as Official Development Assistance within the timeframe of the 2030 Agenda." CL: no text. {3} CL: possible text on Neighbourhood specificities, to be addressed later depending on the final structure of the Instrument.</pre>
83. bis		<i>3a. At least 20 % of the Official Development</i>		{1}	{1}



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		Assistance funded under this Regulation, across all programmes, geographic and thematic, annually and over the duration of its actions, shall be ring- fenced for social inclusion and human development, in order to support and strengthen the provision of basic social services, such as health, education, nutrition and social protection, particularly to the most marginalised, and with an emphasis on women and children.			EP: "At least 20 % of the Official Development Assistance funded under this Regulation, across all programmes, geographic and thematic, annually and over the duration of its actions, shall be ring-fenced for social inclusion and human development, in order to support and strengthen the provision of basic social services, such as health, education, nutrition and social protection, particularly to the most marginalised, and with an emphasis on women and children." CL: no text.
83. ter		3b. At least 85 % of the Official Development Assistance funded programmes, geographic and thematic, under this Regulation shall have gender equality and women's and girls' rights and		[1]	<pre>{1} EP: "At least 85 % of the Official Development Assistance funded programmes, geographic and thematic, under this Regulation shall have gender equality and women's and girls' rights and empowerment as a principal or</pre>

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		empowerment as a principal or a significant objective, as defined by the OECD DAC. A significant part of these programmes shall have gender equality and women's and girls' rights and empowerment as a principal objective.			a significant objective, as defined by the OECD DAC. A significant part of these programmes shall have gender equality and women's and girls' rights and empowerment as a principal objective." CL: no text.
84.	Article 4 Scope and structure	Article 4 Scope and structure	Article 4 Scope and structure	Article 4 Scope and structure	Provisionally closed
85.	1. Union funding under this Regulation shall be implemented through:	1. Union funding under this Regulation shall be implemented <i>applied</i> through:	1. Union funding under this Regulation shall be implemented through:	1. Union funding under this Regulation shall be <i>{1}</i> through:	 {1} EP: "implemented applied" CL: "implemented" Provisionally closed pending agreement on governance
86.	(a) geographic programmes;	(a) geographic programmes;	(a) geographic programmes;	(a) geographic programmes;	Provisionally closed
87.	(b) thematic programmes;	(b) thematic programmes;	(b) thematic programmes;	(b) thematic programmes;	Provisionally closed
88.	(c) rapid response actions.	(c) rapid response actions.	(c) rapid response actions.	(c) rapid response actions.	Provisionally closed
89.	2. The geographic programmes shall encompass country and	2. The geographic programmes shall encompass country and	2. The geographic programmes shall encompass country and	2. The geographic programmes shall encompass country and	Provisionally closed



Line	Commission proposal	EP position	Council partial	Possible compromise	Comments
			negotiating mandate		
	multi-country	multi-country	multi-country	multi-country	
	cooperation in the	cooperation in the	cooperation in the	cooperation in the	
	following areas:	following areas:	following areas:	following areas:	
90.	(a) Neighbourhood;	(a) Neighbourhood;	[(a) Neighbourhood];	[(a) Neighbourhood];	
91.	(b) Sub-Saharan Africa;	(b) Sub-Saharan Africa;	(b) Sub-Saharan Africa;	(b) Sub-Saharan Africa;	Provisionally closed
92.	(c) Asia and the Pacific;	(c) Asia and the Pacific;	(c) Asia and the Pacific;	(c) Asia and the Pacific;	Provisionally closed
93.	(d) Americas and the Caribbean.	(d) Americas and the Caribbean.	(d) Americas and the Caribbean.	(d) Americas and the Caribbean.	Provisionally closed
94.	Geographic programmes may cover all third countries, except for candidates and potential candidates as defined in Regulation (EU) No / ¹ (IPA) and overseas countries and territories as defined in Council Decision / (EU).	Geographic programmes may cover all third countries, except for candidates and potential candidates as defined in Regulation (EU) No / ¹ (IPA) and overseas countries and territories as defined in Council Decision/ (EU). Geographic programmes of a continental or trans- regional scope may also be established, in particular a pan-	Geographic programmes may cover all third countries, except for candidates and potential candidates as defined in Regulation (EU) No / ¹ (IPA). and •Overseas countries and territories as defined in Council Decision/ (EU)- shall equally not be covered by geographic programmes,	Geographic programmes may cover all third countries, except for candidates and potential candidates as defined in Regulation (EU) No / ¹ (IPA). and Θ Overseas countries and territories as defined in Council Decision/ (EU): shall equally not be covered by geographic programmes. Geographic programmes of a continental or trans-regional scope	To be discussed in light of the structure of the Instrument. {1} EP: ", in particular a pan- African programme covering African countries under points (a) and (b) and a programme covering African, Caribbean and Pacific countries under points (b), (c) and (d)".

¹ Regulation of the European Parliament and of the Council establishing the Instrument for Pre-accession Assistance (OJ L...).

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		African programme covering African countries under points (a) and (b) and a programme covering African, Caribbean and Pacific countries under points (b), (c) and (d).		may also be established <mark>{1}</mark> .	CL: no text.
95.	Geographic programmes in the Neighbourhood area may cover any country referred to in Annex I.	Geographic programmes in the Neighbourhood area may cover any country referred to in Annex I.	[Geographic programmes in the Neighbourhood area may cover any <i>partner</i> country referred to in Annex I.]	[Geographic programmes in the Neighbourhood area may cover any <i>partner</i> country referred to in Annex I.]	
96.	In order to attain the objectives laid down in Article 3, geographic programmes shall be based on the areas of cooperation listed in Annex II.	In order to attain the objectives laid down in Article 3, geographic programmes shall be based on the areas of cooperation listed in Annex II.	In order to attain the objectives laid down in Article 3, geographic programmes shall be based on the areas of cooperation listed in Annex II.	In order to attain the objectives laid down in Article 3, geographic programmes shall be based on the areas of cooperation listed in Annex II.	Provisionally closed
97.	3. The thematic programmes shall encompass actions linked to the pursuit of the Sustainable Development Goals at global level, in the following areas:	3. The thematic programmes shall encompass actions linked to the pursuit of the Sustainable Development Goals at global level, in the following areas:	3. The thematic programmes shall encompass actions linked to the pursuit of the Sustainable Development Goals at global level, in the following areas:	3. The thematic programmes shall encompass actions linked to the pursuit of the Sustainable Development Goals at global level, in the following areas:	Provisionally closed



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
98.	(a) Human Rights and Democracy;	(a) Human Rights and Democracy;	(a) Human Rights and Democracy;	(a) Human Rights and Democracy;	Provisionally closed
99.	(b) Civil Society Organisations;	(b) Civil Society Organisations <i>and</i> <i>Local Authorities</i> ;	(b) Civil Society Organisations;	(b) Civil Society Organisations [1];	<i>{1}</i> <i>EP: " and local authorities"</i> <i>CL: no text.</i>
100.	(c) Stability and Peace;	(c) Stability and Peace;	(c) <i>Peace</i> , Stability and <u>Peace</u> -Conflict Prevention;	(c) <i>Peace</i> , Stability and <i>Conflict Prevention</i> ;	Provisionally closed
101.	(d) Global Challenges.	(d) Global Challenges;	(d) Global Challenges.	(d) Global Challenges.	Provisionally closed
101. bis		(da) Foreign Policy Needs and Priorities.			<i>{1}</i> <i>EP: "(da) Foreign Policy</i> <i>Needs and Priorities."</i> <i>CL: no text.</i>
102.	Thematic programmes may cover all third countries as well as overseas countries and territories as defined in Council Decision / (EU).	Thematic programmes may cover all third countries. as well as Overseas countries and territories as defined shall have full access to thematic programmes, as laid down in Council Decision/ (EU). Their effective participation shall be ensured, with account taken of their specific characteristics and the particular challenges they must address.	Thematic programmes may cover all third countries as well as overseas countries and territories as defined in Council Decision/ (EU).	Thematic programmes may cover all third countries as well as overseas countries and territories as defined in Council Decision/ (EU).	Provisionally closed



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
103.	In order to attain the objectives laid down in Article 3, thematic programmes shall be based on the areas of intervention listed in Annex III.	In order to attain the objectives laid down in Article 3, thematic programmes shall be based on the areas of intervention listed in Annex III.	In order to attain the objectives laid down in Article 3, thematic programmes shall be based on the areas of intervention listed in Annex III.	In order to attain the objectives laid down in Article 3, thematic programmes shall be based on the areas of intervention listed in Annex III.	Provisionally closed
104.	4. The rapid response actions shall enable early action to:	4. The rapid response actions shall enable early action to:	4. The rapid response actions shall enable early action to:	4. The rapid response actions shall enable early action to:	Provisionally closed
105.	(a) contribute to stability and conflict prevention in situations of urgency, emerging crisis, crisis and post- crisis;	(a) contribute to <i>peace</i> , stability and conflict prevention in situations of urgency, emerging crisis, crisis and post- crisis;	(a) contribute to stability and conflict prevention in situations of urgency, emerging crisis, crisis and post-crisis, <i>including</i> <i>those which may result</i> <i>from migratory pressure</i> <i>and forced</i> <i>displacement;</i>	(a) contribute to <i>peace</i> , stability and conflict prevention in situations of urgency, emerging crisis, crisis and post- crisis, <i>[1]</i> ;	<i>{1}</i> <i>CL: ", including those which</i> <i>may result from migratory</i> <i>pressure and forced</i> <i>displacement"</i> <i>EP: no text</i>
106.	(b) contribute to strengthening resilience of states, societies, communities and individuals and to linking humanitarian aid and development action;	(b) contribute to strengthening <i>the</i> resilience of states, <i>including local</i> <i>authorities</i> , societies, communities and individuals and to linking humanitarian aid and development action.	(b) contribute to strengthening resilience of states, societies, communities and individuals and to linking humanitarian aid-and, development actions and, where relevant, peacebuilding;	(b) contribute to strengthening <i>the</i> resilience of states, societies, communities and individuals and to linking humanitarian aid and development action <i>and, where relevant,</i> <i>peacebuilding</i> ;	Provisionally closed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
107.	(c) address foreign policy needs and priorities.	(c) address foreign policy needs and priorities.	(c) address <i>Union</i> foreign policy needs and priorities.	(c) <mark>[]]</mark>	<i>{1}</i> <i>EP: no text.</i> <i>CL: "address Union foreign</i> <i>policy needs and priorities."</i>
108.	Rapid response actions may cover all third countries as well as overseas countries and territories as defined in Council Decision / (EU).	Rapid response actions may cover all third countries as well as overseas countries and territories as defined in Council Decision/ (EU).	Rapid response actions may cover all third countries as well as overseas countries and territories as defined in Council Decision/ (EU).	Rapid response actions may cover all third countries as well as overseas countries and territories as defined in Council Decision/ (EU).	Provisionally closed
109.	In order to attain the objectives laid down in Article 3, rapid response actions shall be based on the areas of intervention listed in Annex IV.	In order to attain the objectives laid down in Article 3, rapid response actions shall be based on the areas of intervention listed in Annex IV.	In order to attain the objectives laid down in Article 3, rapid response actions shall be based on the areas of intervention listed in Annex IV.	In order to attain the objectives laid down in Article 3, rapid response actions shall be based on the areas of intervention listed in Annex IV.	Provisionally closed
110.	5. Actions under this Regulation shall be primarily implemented through geographic programmes.	5. Actions under this Regulation shall be primarily implemented <i>applied</i> through geographic programmes.	5. Actions under this Regulation shall be primarily implemented through geographic programmes.	5. Actions under this Regulation shall be primarily {1} through geographic programmes.	 {1} EP: "implemented applied" CL: "implemented" Provisionally closed pending agreement on governance
111.	Actions implemented through thematic programmes shall be complementary to actions funded under	Actions implemented applied through thematic programmes shall be complementary to actions funded under	Actions implemented through thematic programmes shall be complementary to actions funded under	Actions {1} through thematic programmes shall be complementary to actions funded under geographic programmes	<i>{1}</i> <i>EP: "implemented applied"</i> <i>CL: "implemented"</i>

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	geographic programmes and shall support global and trans-regional initiatives for achieving internationally agreed goals, in particular the Sustainable Development Goals, protecting global public goods or addressing global challenges. Actions	geographic programmes and shall support global and trans-regional initiatives for aimed at achieving internationally agreed goals, in particular the Sustainable Development Goals, protecting as referred in point (aa) of Article 3(2), as well as global public goods or addressing global	negotiating mandate geographic programmes and shall support global and trans-regional initiatives for achieving internationally agreed goals, in particular the Sustainable Development Goals, protecting global public goods or addressing global challenges-, as referred to in Annex III. Actions through thematic programmes may also be	and shall support global and trans-regional initiatives for aimed at achieving internationally agreed goals, in particular the Sustainable Development Goals and the Paris Agreement, protecting as well as protecting global public goods or addressing global challenges. Actions through thematic programmes may also be	Provisionally closed pending agreement on governance
	through thematic programmes may also be undertaken where there is no geographic programme, or where it has been suspended, or where there is no agreement on the action with the partner country concerned, or where the action cannot be adequately addressed by geographic programmes.	challenges. Actions through thematic programmes may also be undertaken <i>independently</i> , <i>including</i> where there is no geographic programme, or where it has been suspended, or where there is no agreement on the action with the partner country concerned, or where the action cannot be adequately addressed by geographic programmes.	undertaken where there is no geographic programme, or where it has been suspended, or where there is no agreement on the action with the partner country concerned, or where the action cannot be adequately addressed by geographic programmes.	undertaken where there is no geographic programme, or where it has been suspended, or where there is no agreement on the action with the partner country concerned, or where the action cannot be adequately addressed by geographic programmes.	



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
112.	Rapid response actions shall be complementary to geographic and thematic programmes. These actions shall be designed and implemented to enable, where relevant, their continuity under geographic or thematic programmes.	Rapid response actions shall be complementary to geographic and thematic programmes . These as well as to actions funded through the Council Regulation (EC) No 1257/96 of 20 June 1996 (the 'Humanitarian Aid Regulation'). Those actions shall be designed and implemented applied to enable, where relevant, their continuity under geographic or thematic programmes.	Rapid response actions shall be complementary to geographic and thematic programmes. These actions shall be designed and implemented to enable, where relevant, their continuity under geographic or thematic programmes.	Rapid response actions shall be complementary to geographic and thematic programmes. These actions shall be designed and {1} to enable, where relevant, their continuity under geographic or thematic programmes.	<pre>{1} EP: "implemented applied" CL: "implemented" Provisionally closed pending agreement on governance</pre>
113.	6. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend Annexes II, III and IV.	6. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend Annexes II, III and IV.	6. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend Annexes II, III and IV.	[1]	 {1} EP: "The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend Annexes II, III and IV." CL: no text.
114.	Article 5 Coherence, consistency and complementarity	Article 5 Coherence, consistency and complementarity	Article 5 Coherence, consistency and complementarity	Article 5 Coherence, consistency and complementarity	Provisionally closed
115.	1. In implementing this Regulation,	1. In implementing <i>applying</i> this	1. In implementing this Regulation, consistency,	1. In <i>[1]</i> this Regulation, consistency, <i>coherence</i> ,	{1} EP: " implementing applying"

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	consistency, synergies and complementarity with other areas of Union external action, with other relevant Union policies and Programmes, as well as policy coherence for development shall be ensured.	Regulation, consistency, <i>coherence</i> synergies and complementarity with other all areas of Union external action, <i>including other</i> <i>external financing</i> <i>instruments, the</i> <i>IPA III Regulation in</i> <i>particular, as well as</i> <i>measures adopted</i> <i>under Chapter Two of</i> <i>Title V TEU and Part</i> <i>Five TFEU</i> , with other relevant Union policies and Programmes, as well as policy coherence for development shall be ensured. <i>The Union</i> <i>shall take account of</i> <i>the objectives of</i> <i>development</i> <i>cooperation in the</i> <i>policies that it applies</i> <i>which are likely to</i> <i>affect developing</i> <i>countries.</i>	synergies and complementarity with other areas of Union external action, with other relevant Union policies and Programmes, as well as policy coherence for development shall be ensured, <i>thus</i> <i>contributing to the</i> <i>implementation of the</i> 2030 Agenda.	synergies and complementarity with <i>all</i> areas of Union external action, <i>including other external</i> <i>financing instruments,</i> <i>and</i> with other relevant Union policies and Programmes, as well as policy coherence for development shall be ensured.	CL: "implementing" Provisionally closed pending 1) agreement on governance, and 2) language in recitals on IPA III, HA and CFSP. References to IPA III and Humanitarian Aid Regulation are already in Recital 21, as well as CFSP.

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
115. bis			1 bis In this regard, the Union shall seek to promote increased synergies and complementarities in particular with trade policy, economic cooperation and other sectorial cooperation, taking into account the impact of all internal and external policies on sustainable development.	In this regard, the Union shall take into account the impact of all internal and external policies on sustainable development and shall seek to promote increased synergies and complementarities in particular with trade policy, economic cooperation and other sectorial cooperation.	Provisionally closed
		1a. The Union and Member States shall coordinate their respective support programmes with the aim of increasing effectiveness and efficiency of their delivery and preventing overlapping of funding.			Provisionally closed Moved to line 146 bis.
115. ter		1b. In applying this Regulation, the Commission and the EEAS shall duly take into consideration the		<i>{</i> 1}	<i>{1}</i> <i>EP: "In applying this</i> <i>Regulation, the Commission</i> <i>and the EEAS shall duly take</i>



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		positions of the European Parliament.			<i>into consideration the positions</i> <i>of the European Parliament</i> " <i>CL: request to consider location</i>
					of possible text, if such text is agreed.
116.	2. Actions falling	2. Actions falling	2. Actions falling within	2. Actions falling within	Provisionally closed
	within the scope of	within the scope of	the scope of Council	the scope of Council	
	Council Regulation	Council Regulation	Regulation (EC) No	Regulation (EC) No	
	(EC) No 1257/96 shall not be funded under	(EC) No 1257/96 shall	1257/96 shall not be	1257/96 shall not be	
	this Regulation.	not be funded under this	funded under this	funded under this	
117.	-	Regulation.	Regulation.	Regulation.	
117.	3. Where appropriate, other Union	3. Where appropriate, other Union	3. Where appropriate,	3. Where appropriate,	Provisionally closed
	Programmes may	Programmes may	other Union Programmes may contribute to actions	other Union Programmes may contribute to actions	
	contribute to actions	contribute to actions	established under this	established under this	
	established under this	established under this	Regulation, provided that	Regulation, provided that	
	Regulation, provided	Regulation, provided	the contributions do not	the contributions do not	
	that the contributions	that the contributions	cover the same costs.	cover the same costs.	
	do not cover the same	do not cover the same	This Regulation may also	This Regulation may also	
	costs. This Regulation	costs. This Regulation	contribute to mea sures	contribute to measures	
	may also contribute to	may also contribute to	established under other	established under other	
	measures established	measures established	Union Programmes,	Union Programmes,	
	under other Union	under other Union	provided that the	provided that the	
	Programmes, provided	Programmes, provided	contributions do not	contributions do not	
	that the contributions	that the contributions	cover the same costs. In	cover the same costs. In	
	do not cover the same	do not cover the same	such cases, the work	such cases, the work	
	costs. In such cases,	costs. In such cases, the	programme covering	programme covering	
	the work programme	work programme	those actions shall	those actions shall	
	covering those actions	covering those actions	establish which set of	establish which set of	
	shall establish which	shall establish which set	rules shall be applicable.	rules shall be applicable.	

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	set of rules shall be	of rules shall be			
	applicable.	applicable.			
134.	Article 7	Article 7	Article 7	Article 7	Provisionally closed
	Policy framework	Policy framework	Policy framework	Policy framework	Frovisionally closed
135.	The association	The association	The association		
	agreements,	agreements, partnership	agreements, partnership		Both institutions uphold
	partnership and	and cooperation	and cooperation		language as per their mandates.
	cooperation	agreements, multilateral	agreements, multilateral		0 0 1
	agreements,	trade agreements, and	agreements to which the		Part of the governance
	multilateral	other agreements that	Union is a party, and		discussion.
	agreements, and other	establish a legally	other agreements that		
	agreements that	binding relationship	establish a legally		
	establish a legally	with partner countries,	binding relationship with		
	binding relationship	recommendations and	between the Union and		
	with partner countries,	acts adopted in the	partner countries , as well		
	as well as, European	bodies set up by those	as , European Council		
	Council conclusions	agreements, as well as	conclusions and Council		
	and Council	relevant multilateral	conclusions, summit		
	conclusions, summit	agreements, Union	declarations or		
	declarations or	legislative acts,	conclusions of high-level		
	conclusions of high-	European Council	meetings with partner		
	level meetings with	conclusions, and	countries , relevant at the		
	partner countries,	Council conclusions,	level of heads of state s		
	relevant European	summit declarations or	or government s or		
	Parliament resolutions,	and other international	ministers. Joint		
	communications of the	declarations and	statements by the		
	Commission or Joint	conclusions of high-	Council and the		
	communications of the	level meetings with	representatives of the		
	Commission and the	partner countries,	governments of the		
	High Representative of	relevant European	Member States, the		



Line	Commission proposal	EP position	Council partial	Possible compromise	Comments
			negotiating mandate		
	the Union for Foreign	Parliament resolutions,	European Parliament		
	Affairs and Security	communications of the	resolutions,		
	Policy, shall constitute	Commission or Joint	communications of and		
	the overall policy	and positions,	the Commission as well		
	framework for the	communications of the	as Council deliberations,		
	implementation of this	Commission and the	or Joint communications		
	Regulation.	High Representative of	of the Commission and		
		the Union for Foreign	the High Representative		
		Affairs and Security	of the Union for Foreign		
		Policy and United	Affairs and Security		
		Nations Conventions	Policy, shall constitute		
		and resolutions, shall	the overall policy		
		constitute the overall	framework for the		
		policy framework for	implementation of this		
		the implementation	Regulation.		
		<i>application</i> of this			
		Regulation.			
136.	Article 8	Article 8	Article 8	Article 8	Provisionally closed
	General principles	General principles	General principles	General principles	
137.	1. The Union shall	1. The Union shall seek	1. The Union shall seek	1. The Union shall seek	Provisionally closed
	seek to promote,	to promote, develop and	to promote, develop and	to promote, develop and	
	develop and	consolidate the	consolidate the principles	consolidate the principles	
	consolidate the	principles of	of democracy, good	of democracy, good	
	principles of	democracy, the rule of	governance, the rule of	governance,-the rule of	
	democracy, the rule of	law and respect for	law and respect for	law, respect for human	
	law and respect for	human rights and	human rights and	rights, <i>including their</i>	
	human rights and	fundamental freedoms	fundamental freedoms on	universality and	
	fundamental freedoms	on which it is founded,	which it is founded,	indivisibility, and	
	on which it is founded,	through dialogue and	through dialogue and	fundamental freedoms	
	through dialogue and	cooperation with	cooperation with partner	and respect for human	



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	cooperation with partner countries and regions.	partner countries and regions, through action in the United Nations and other international fora and through its cooperation with civil society organisations, local authorities and private actors, the principles on which it is founded, namely democracy, the rule of law, good governance, the universality and indivisibility of human rights and fundamental freedoms, respect for human dignity, the principles of equality and solidarity, and respect for the principles of the United Nations Charter and international law. Funding under this Regulation shall comply with these principles, as well as with the Union's commitments under international law.	countries and , regions and civil society.	dignity, the principles of equality and solidarity on which it is founded, through dialogue and cooperation with partner countries, and -regions and civil society, including through action in multilateral fora.	



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
137. bis			1 bis The Union shall pursue the eradication of poverty and, in compatibility with the 2030 Agenda and the Paris Agreement, the fight against climate change.		Provisionally closed
		1a. Consistent with Articles 2 and 21 TEU, the Union's contribution to democracy and the rule of law and to the promotion and protection of human rights and fundamental freedoms shall be rooted in the Universal Declaration Human Rights, international human rights law and international humanitarian law.			
138.	2. A rights-based approach encompassing all human rights, whether civil and political or economic, social and	2. A rights-based approach encompassing all human rights, whether civil and political or economic, social and cultural shall	2. A rights-based approach encompassing all human rights, whether civil and political or economic, social and cultural shall be applied	2. A rights-based approach encompassing all human rights, whether civil and political or economic, social and cultural shall be applied	Provisionally closed



Line	Commission proposal	EP position	Council partial	Possible compromise	Comments
			negotiating mandate		
	cultural shall be	be applied in order to	in order to integrate	in order to integrate	
	applied in order to	integrate human rights	human rights principles,	human rights principles,	
	integrate human rights	principles, to support	to support the right	to support the right	
	principles, to support	the right holders in	holders in claiming their	holders in claiming their	
	the right holders in	claiming their rights	rights with a focus on	rights with a focus on	
	claiming their rights	with a focus on poorer	poorer, marginalised and	poorer, marginalised and	
	with a focus on poorer	and more marginalised	more-vulnerable people	more-vulnerable <i>people</i>	
	and more vulnerable	and vulnerable groups,	and groups and to assist	and groups and to assist	
	groups and to assist	including minorities,	partner countries in	partner countries in	
	partner countries in	women, children and	implementing their	implementing their	
	implementing their	youth, older people,	international human	international human	
	international human	indigenous people,	rights obligations. This	rights obligations. This	
	rights obligations. This	LGBTI persons, and	Regulation shall promote	Regulation shall promote	
	Regulation shall	persons with	gender equality and	gender equality and	
	promote gender	disabilities, on	women's empowerment	women's empowerment	
	equality and women's	essential labour rights	This approach shall be	This approach shall be	
	empowerment.	and social inclusion,	guided by the principle	guided by the principle	
		and to assist partner	of leaving no-one	of leaving no-one	
		countries in	behind, wherever people	behind, equality and	
		implementing their	live and regardless of	non-discrimination on	
		international human	ethnicity, gender, age,	any grounds.	
		rights obligations. This	disability, religion or		
		Regulation shall	beliefs, sexual		
		promote gender	orientation and gender		
		equality and women's	identity, migration status		
		<i>the</i> empowerment <i>of</i>	or other factors,		
		women, youth and	including addressing the		
		children, including	multiple discriminations		
		with regard to sexual	faced by vulnerable		
		and reproductive	people and marginalised		
		health and rights.	groups.		



Line	Commission proposal	EP position	Council partial	Possible compromise	Comments
100			negotiating mandate		
138. bis			2 bis This Regulation shall promote gender equality, women's and girls' rights and empowerment and non- discrimination on any grounds including gender identity or sexual orientation, through targeted and mainstreamed actions.	2 bis This Regulation shall promote gender equality, women's and girls' rights and empowerment and non- discrimination on any grounds, through targeted and mainstreamed actions; and shall also give particular attention to the rights of the child and empowerment of youth.	Provisionally closed
138. ter			2 ter This Regulation shall be implemented in full accordance with the EU's commitment to the promotion, protection and fulfilment of all human rights and to the full and effective implementation of the Beijing Platform for Action and the Programme of Action of the International Conference on Population and Development (ICPD)	2 ter This Regulation shall be implemented in full accordance with the EU's commitment to the promotion, protection and fulfilment of all human rights and to the full and effective implementation of the Beijing Platform for Action and the Programme of Action of the International Conference on Population and Development (ICPD)	Provisionally closed



Line	Commission proposal	EP position	Council partial	Possible compromise	Comments
			negotiating mandate		
			and the outcomes of	and the outcomes of	
			their review conferences	their review conferences	
			and remains committed	and remains committed	
			to sexual and	to sexual and	
			reproductive health and	reproductive health and	
			rights (SRHR), in this	rights (SRHR), in this	
			context. Having that in	context. Having that in	
			mind, the Regulation	mind, the Regulation	
			shall support the EU	shall support the EU	
			commitment to the	commitment to the	
			promotion, protection	promotion, protection	
			and fulfilment of the	and fulfilment of the	
			right of every individual	right of every individual	
			to have full control over,	to have full control over,	
			and decide freely and	and decide freely and	
			responsibly on matters	responsibly on matters	
			related to their sexuality	related to their sexuality	
			and sexual and	and sexual and	
			reproductive health, free	reproductive health, free	
			from discrimination,	from discrimination,	
			coercion and violence. It	coercion and violence. It	
			shall also support the	shall also support the	
			need for universal	need for universal	
			access to quality and	access to quality and	
			affordable	affordable	
			comprehensive sexual	comprehensive sexual	
			and reproductive health	and reproductive health	
			information, education,	information, education,	
			including	including	
			comprehensive sexuality	comprehensive sexuality	



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
			education, and health- care services.	education, and health- care services.	
138. quater			2 quater This Regulation shall give particular attention to the rights of the child and empowerment of youth.		Provisionally closed Moved to line 138 bis.
139.	3. The Union shall support, as appropriate, the implementation of bilateral, regional and multilateral cooperation and dialogue, partnership agreements and triangular cooperation.	3. The Union shall support, as appropriate, the implementation of bilateral, regional and multilateral cooperation and dialogue, partnership agreements and triangular cooperation.	3. The Union shall support, as appropriate, the implementation of bilateral, regional and multilateral cooperation and dialogue, <i>association</i> <i>and free trade</i> <i>agreements</i> , partnership agreements and triangular cooperation.	3. The Union shall support, as appropriate, the implementation of bilateral, regional and multilateral cooperation and dialogue, <i>association</i> <i>and trade agreements</i> , partnership agreements and triangular cooperation.	Provisionally closed Reference to coherence with trade policy inserted in Art.5.
140.	The Union shall promote a multilateral and rules-based approach to global goods and challenges and shall cooperate with Member States, partner countries, international organisations and other donors in that respect.	The Union shall promote a multilateral and rules-based <i>rules-</i> <i>and values-based</i> approach to global <i>public</i> goods and challenges and shall cooperate with Member States, partner countries, international organisations, <i>including international</i> <i>financial institutions</i>	The Union shall promote a multilateral and rules- based approach to global goods and challenges and shall cooperate with Member States, partner countries, international organisations and other donors in that respect.	The Union shall promote a multilateral and rules- based <i>and values-based</i> approach to global goods and challenges and shall cooperate with Member States, partner countries, international organisations and other donors in that respect.	Provisionally closed



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		and UN agencies, funds and programmes, and other donors in that respect.			
140. bis			The Union shall foster inclusiveness in the implementation of this		Provisionally closed Moved to line 146 bis
			Regulation and collaboration with Member States seeking to maximise added value and taking into account experience and capacities, thus reinforcing shared interests, values and common goals. The Union shall encourage the exchange of best practices and knowledge sharing among Member States' bodies and experts.		Moved to line 146 bis
141.	The Union shall foster cooperation with international organisations and other donors.	The Union shall foster cooperation with international <i>and</i> <i>regional</i> organisations and other donors.	The Union shall <i>promote</i> <i>effective multilateralism</i> <i>in</i> foster <i>ing</i> cooperation with international organisations and other donors.	The Union shall <i>promote</i> <i>effective multilateralism</i> <i>in</i> foster <i>ing</i> cooperation with international organisations and other donors.	Provisionally closed

Line	Commission proposal	EP position	Council partial	Possible compromise	Comments
			negotiating mandate		
142.	In relations with	In relations with partner	In relations with partner	In relations with partner	Provisionally closed
	partner countries, their	countries, their track	countries, their track	countries, their track	2
	track record in	record in implementing	record in implementing	record in implementing	
	implementing	commitments,	obligations and	obligations and	
	commitments,	international	commitments,	commitments, <i>including</i>	
	international	agreements, <i>in</i>	international <i>human</i>	the 2030 Agenda,	
	agreements, and	particular the Paris	rights conventions,	international <i>human</i>	
	contractual relations	Agreement, and	international	rights conventions,	
	with the Union shall be	contractual relations	agreements, and	international	
	taken into account.	with the Union, <i>in</i>	contractual relations with	agreements, in particular	
		particular association	the Union shall be taken	the Paris Agreement,	
		agreements,	into account and shall be	and contractual relations	
		partnership and	taken up in the regular	with the Union, <i>in</i>	
		cooperation	political dialogue with	particular association	
		agreements and trade	these countries.	agreements, partnership	
		agreements, shall be		and cooperation	
		taken into account.		agreements and trade	
				agreements, shall be	
				taken into account and	
				shall be taken up in the	
				regular political	
				dialogue with these	
				countries.	
143.	4. Cooperation	4. Cooperation between	4. Cooperation between	4. Cooperation between	Provisionally closed
	between the Union and	the Union and the	the Union and the	the Union and the	r rovisionally closed
	the Member States, on	Member States, on the	Member States, on the	Member States, on the	
	the one hand, and	one hand, and partner	one hand, and partner	one hand, and partner	
	partner countries, on	countries, on the other	countries, on the other	countries, on the other	
	the other hand, shall be	hand, shall be based on	hand, shall be based on	hand, shall be based on	
	based on and shall	and shall promote the	and shall promote the	and shall promote the	



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	promote the development effectiveness principles, where applicable, namely: ownership of development priorities by partner countries, a focus on results, inclusive development partnerships, transparency and mutual accountability. The Union shall promote effective and efficient resource mobilisation and use.	development effectiveness principles, where applicable, across all modalities namely: ownership of development priorities by partner countries, a focus on results, inclusive development partnerships, transparency and mutual accountability, and alignment to the priorities of partner countries. The Union shall promote effective and efficient resource mobilisation and use.	development effectiveness principles, where applicable, namely: ownership of development priorities by partner countries, a focus on results, inclusive development partnerships, transparency and mutual accountability. The Union shall promote effective and efficient resource mobilisation and use.	development effectiveness principles, where applicable, <i>across</i> <i>all modalities</i> , namely: ownership of development priorities by partner countries, a focus on results, inclusive development partnerships, transparency and mutual accountability. The Union shall promote effective and efficient resource mobilisation and use.	
144.	In line with the principle of inclusive partnership, where appropriate, the Commission shall ensure that relevant stakeholders of partner countries, including civil society organisations and local authorities, are duly consulted and have timely access to	In line with the principle of inclusive partnership, where appropriate, the Commission shall ensure that relevant stakeholders of partner countries, including civil society organisations and local authorities, are duly consulted and have	In line with the principles of inclusive partnership <i>and transparency</i> , where appropriate <i>and possible</i> , the Commission shall ensure that relevant stakeholders of partner countries, including civil society organisations, <i>the</i> <i>private sector</i> and local authorities, are duly consulted and have	In line with the principle of inclusive partnership <i>and transparency</i> , where appropriate, the Commission shall ensure that relevant stakeholders of partner countries, including civil society organisations, and local authorities, are duly consulted and have timely access to relevant	Provisionally closed {1}

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	relevant information allowing them to play a meaningful role during the design, implementation and associated monitoring processes of programmes.	timely access to relevant information allowing them to play a meaningful role during the design, implementation application and associated monitoring processes of programmes.	timely access to relevant information allowing them to <i>be adequately</i> <i>involved and</i> play a meaningful role during the design, implementation and associated monitoring processes of programmes.	information allowing them to be adequately involved and play a meaningful role during the design, {1} and associated monitoring processes of programmes. Where appropriate, the Commission shall also ensure that an enhanced dialogue is pursued with the private sector.	<i>EP: "implementation application"</i> <i>CL: "implementation"</i>
145.	In line with the principle of ownership the Commission, where appropriate, shall favour the use of partner countries' systems for the implementation of programmes.	In line with the principle of ownership the Commission, where appropriate, shall favour the use of partner countries' systems for the implementation <i>application</i> of programmes.	In line with the principle of ownership the Commission, where appropriate, shall favour the use of partner countries' <i>institutions</i> <i>and</i> systems for the implementation of programmes.	In line with the principle of ownership the Commission, where appropriate, shall favour the use of partner countries' <i>institutions</i> <i>and</i> systems for the {1} of programmes.	Provisionally closed {1} EP: "implementation application" CL: "implementation"
146.	5. In order to promote the complementarity and efficiency of their action, the Union and the Member States shall coordinate their policies and shall	5. In order to promote the complementarity and efficiency of their action, the Union and the Member States shall coordinate their policies and shall consult each	5. In order to promote the complementarity and efficiency of their action <i>and initiatives</i> , the Union and the Member States shall coordinate <i>strengthen the</i>	5. In order to promote the complementarity and efficiency of their action <i>and initiatives</i> , the Union and the Member States shall coordinate <i>ensure the coordination of</i> their	Provisionally closed



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	consult each other on their assistance programmes, including in international organisations and during international conferences.	other on their assistance programmes, including in international organisations and during international conferences.	<i>coordinating manuale</i> <i>coordination of</i> their policies and shall <i>regularly</i> consult each other on their assistance programmes, including in international organisations and during international conferences.	policies and shall <i>regularly</i> consult each other on their assistance programmes, including in international organisations and during international conferences.	
146 bis				The Union and the Member States shall coordinate their respective support programmes with the aim of increasing effectiveness and efficiency.	Provisionally closed Based on EP text line 115 bis.
146. ter (new line)				The Union shall foster inclusiveness in the implementation of this Regulation and collaboration with Member States seeking to maximise added value and taking into account experience and capacities, thus reinforcing shared	Provisionally closed Based on CL text line 140 bis.



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EN

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
				interests, values and	
				common goals. The Union shall encourage	
				the exchange of best	
				practices and knowledge	
				sharing among Member	
				States' bodies and	
				experts.	
147.	6. Programmes and	6. Programmes and	6. Programmes and	6. Programmes and	Provisionally closed
	actions under this	actions under this	actions under this	actions under this	1 101131011411 y 010304
	Regulation shall	Regulation shall	Regulation shall	Regulation shall	
	mainstream climate	mainstream climate	mainstream climate	mainstream <i>the fight</i>	
	change, environmental	change, environmental	change human rights,	against climate change,	
	protection and gender	protection and in	democracy, gender	environmental	
	equality and shall	accordance with Art.	equality, environmental	protection, human	
	address interlinkages	11 TFEU, disaster risk	protection and gender	rights, democracy,	
	between Sustainable	reduction and	equality the fight against	gender equality and,	
	Development Goals, to	preparedness, human	<i>climate change</i> and shall	where relevant, disaster	
	promote integrated	development, conflict	address interlinkages	<i>risk reduction</i> and shall	
	actions that can create	prevention and peace	between Sustainable	address interlinkages	
	co-benefits and meet	building, gender	Development Goals, to	between Sustainable	
	multiple objectives in a	equality and the	promote integrated	Development Goals, to	
	coherent way. These	empowerment of	actions that can create	promote integrated	
	programmes and	women, children and	co-benefits and meet	actions that can create	
	actions shall be based	youth, non-	multiple objectives in a	co-benefits and meet	
	on an analysis of risks	discrimination,	coherent way. These	multiple objectives in a	
	and vulnerabilities,	education and culture,	programmes and actions	coherent way. These	
	integrate a resilience	and digitalisation and	shall be based on ana	programmes and actions	
	approach and be	shall address	comprehensive multi-	shall be based on ana	
	conflict sensitive. They shall be guided by the	interlinkages between	<i>disciplinary</i> analysis of	comprehensive multi-	

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	principle of leaving no one behind.	Sustainable Development Goals, to promote integrated actions that can create co-benefits and meet multiple objectives in a coherent way. These programmes and actions shall be based on an analysis of <i>capacities</i> , risks and vulnerabilities, integrate a <i>people and</i> <i>community centred</i> resilience approach and be conflict sensitive. They shall be guided by the principle principles of leaving no one behind and "do no harm".	<i>context</i> , risks and vulnerabilities, integrate a resilience approach and be conflict sensitive. They shall be guided by the principles of "do no harm" and of "leaving no one behind".	<i>disciplinary</i> analysis of <i>context, capacities,</i> risks and vulnerabilities, integrate a resilience approach and be conflict sensitive <i>taking into</i> <i>account conflict</i> <i>prevention and</i> <i>peacebuilding</i> . They shall be guided by the principles of "do no <i>harm" and of</i> "leaving no one behind".	
147. bis			6 bis This Regulation shall promote the use of digitalisation as a powerful enabler for sustainable development and inclusive growth.	6 bis This Regulation shall promote the use of digitalisation as a powerful enabler for sustainable development and inclusive growth.	Provisionally closed
148.	7. A more coordinated, holistic and structured approach to migration	7. Without prejudice to the other objectives of Union external action,	7. A more coordinated, holistic and structured approach to migration		Council mandate was complemented following



Line	Commission proposal	EP position	Council partial	Possible compromise	Comments
			negotiating mandate		
	shall be pursued with	a more coordinated,	shall be pursued with		COREPER agreement on 27
	partners and its	holistic and structured	partners, taking into		November.
	effectiveness be	approach to migration	account the importance		
	regularly assessed.	shall be pursued, with	of addressing the root		Both institutions uphold
		partners and its	causes of irregular		language as per their mandates.
		effectiveness be	migration and its forced		
		regularly assessed,	displacement. It shall		Subject to prior horizontal
		without conditioning	maximise synergies and		trilogue discussion on migration
		the allocation of	build comprehensive		
		development aid to	partnerships, while		
		third countries to	paying specific attention		
		cooperation on	to countries of origin		
		migration management	and transit. This		
		and in full respect of	approach shall combine		
		human rights,	all appropriate tools and		
		including the right of	the necessary leverage		
		every individual to	through a flexible		
		leave his or her	incitative approach with,		
		country of origin.	as appropriate within		
			this context, possible		
			changes in allocation of		
			funding. It shall take		
			into account effective		
			cooperation and		
			implementation of EU		
			agreements, including in		
			the field of readmission,		
			return and reintegration,		
			and dialogues on		
			migration. These actions		
			shall be implemented in		

Line	Commission proposal	EP position	Council partial	Possible compromise	Comments
			Councel partialnegotiating mandatefull respect ofinternational law,including internationalhuman rights andrefugee law, EU andnational competences.Hs The effectiveness ofthis approach shall beregularly assessedannually or asnecessary. Migration-related actions of thisRegulation shall beimplemented in supportof EU migration policyobjectives through acoordinated mechanismbased on the use of itsappropriate componentsthrough flexible		
148. bis		7a. The Commission shall ensure that actions adopted under this Regulation in relation to security, stability and peace, in particular with regard to capacity building of military actors in	funding.		Part of peace and stability discussion

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		support of development			
		and security for			
		development, fight			
		against terrorism and			
		organised crime, and			
		cyber-security, are			
		carried out in			
		accordance with			
		international law,			
		including international			
		human rights and			
		humanitarian law. The			
		Commission may			
		develop roadmaps			
		jointly with the			
		beneficiary partners to			
		improve the			
		institutional and			
		operational compliance			
		of military actors with			
		transparency and			
		human rights			
		standards. The			
		Commission shall			
		carefully monitor,			
		evaluate and report on the application of such			
		the application of such actions for each			
		relevant objective			
		pursuant to Article 31			
		in order to ensure			
		in order to ensure			



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		compliance with			
		human rights			
		obligations. For such			
		actions, the			
		Commission shall			
		pursue a conflict			
		sensitive approach,			
		including a rigorous			
		and systematic ex ante			
		conflict analysis which			
		fully integrates gender			
		analysis, in addition to			
		the provisions on risk			
		management under			
		Article 8(8)b. The			
		Commission shall			
		adopt a delegated act in			
		accordance with			
		Article 34			
		supplementing this			
		Regulation by			
		establishing an			
		operational framework,			
		based on the existing			
		guidance to ensure that			
		human rights are taken			
		into consideration in			
		the design and			
		application of the			
		measures referred to in			
		this Article, in			



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		particular as regards the prevention of torture and other cruel, inhuman or degrading treatment and respect for due process, including the presumption of innocence, the right to a fair trial and rights of defence.			
149.	8. The Commission shall inform and have regular exchanges of views with the European Parliament.	8. The Commission shall <i>regularly</i> inform and have regular exchanges of views <i>meaningful policy</i> <i>dialogues</i> with the European Parliament, <i>at</i> <i>its own initiative and</i> <i>when requested by the</i> <i>European Parliament</i> .	8. The Commission shall inform and have regular exchanges of views with the European Parliament <i>and the Council</i> .	8. The Commission shall <i>regularly</i> inform and have regular exchanges of views with the European Parliament {1}.	 {1} CL: "and the Council" EP: ", at its own initiative and when requested by the European Parliament" Part of governance discussion. Link with line 115 ter.
149. bis		8a. The Commission shall have regular exchanges of information with civil society and local authorities.		8a. The Commission shall have regular exchanges of information with civil society and local authorities.	Provisionally closed
149. ter		8b. The Commission shall adopt a delegated act in accordance with			Part of the governance discussion.



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		Article 34 supplementing this Regulation by establishing an appropriate risk management framework, including an assessment and mitigations measures for each relevant objective of the Regulation.			
149. quater		8c. Transparency and accountability, with a strong focus on reporting and scrutiny shall underpin the entire instrument. That shall comprise a transparent control system, including the reporting of information on the recipients of funds and whether payments have been made on time.			Part of the governance discussion.
208.	Article 15 Emerging challenges and priorities cushion	Article 15 Emerging challenges and priorities cushion	Article 15 Emerging challenges and priorities cushion	Article 15 Emerging challenges and priorities cushion	Provisionally closed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
209.	1. The amount referred to in Article 6(3) shall be used <i>inter alia</i> :	1. The amount referred to in Article 6(3) shall be used inter alia in duly justified cases, with priority given to the countries most in need, and in full complementarity and consistency with acts adopted under this Regulation:	1. The amount referred to in Article 6(<i>32</i>)(<i>d</i>) shall be used <i>where most</i> <i>needed inter alia</i> :	1. The amount referred to in Article 6(3) shall be used <i>where most needed</i> <i>and duly justified, inter</i> <i>alia</i> :	Provisionally closed
210.	(a) to ensure an appropriate response of the Union in the event of unforeseen circumstances;	(a) to ensure an appropriate response of the Union in the event of unforeseen circumstances needs not covered by programmes and programming documents;	(a) to ensure an appropriate response of the Union in the event of unforeseen circumstances;	(a) to ensure an appropriate response of the Union in the event of unforeseen circumstances;	Provisionally closed
211.	(b) to address new needs or emerging challenges, such as those at the Union's or its neighbours' borders linked to crisis and post-crisis situations or migratory pressure;	(b) to address new needs or emerging challenges, such as those at the Union's or its neighbours' borders <i>or those in third</i> <i>countries</i> linked to crisis, <i>either natural or</i> <i>man-made</i> , and post- crisis situations or	(b) to address new needs, or emerging challenges, such as those at the Union's or its neighbours' borders linked to crisis, <i>violent</i> <i>conflict</i> and post-crisis situations or <i>irregular</i> migratory pressure <i>and</i> <i>forced displacement</i> ;	(b) to address new needs or emerging challenges, such as those at the Union's or its neighbours' borders linked to crisis, <i>either</i> <i>natural or man-made</i> and post-crisis situations {1}	Subject to prior horizontal trilogue discussion on migration {1} EP: "or migratory pressure to migration phenomena, in particular forced displacement;"



Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		migratory pressure to migration phenomena, in particular forced displacement;			CL: "or <i>irregular</i> migratory pressure <i>and forced</i> <i>displacement</i> ;"
212.	(c) to promote new Union led or international initiatives or priorities.	(c) to promote <i>or</i> <i>respond to</i> new Union led or international initiatives or priorities.	(c) to promote new Union led or international initiatives or priorities.	{1}	<pre>{1} EP: (c) to promote or respond to new Union led or international initiatives or priorities. CL: no text</pre>
213.	2. The use of these funds shall be decided in accordance with the procedures established in Articles 14 and 21.	2. The use of these funds shall be decided in accordance with the procedures established in Articles 14 and 21.	2. The use of these funds shall be decided in accordance with the procedures established in Articles 14 and 21.	2. The use of these funds shall be decided in accordance with the procedures established in Articles 14 and 21.	Provisionally closed, pending governance discussion.